Ek John Frederick Form 3 February 21, 2019

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement GenMark Diagnostics, Inc. [GNMK] Ek John Frederick (Month/Day/Year) 02/21/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 5964 LA PLACE COURT (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) CARLSBAD, CAÂ 92008 Form filed by More than One Chief Financial Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 108,663 (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)		Date Exercisable and epiration Date onth/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			(Instr. 4)		Price of	Derivative	
		Expiration Date	Title	Amount or Number of	Derivative	Security:	
					Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
Stock Option (Right to Buy)	(2)	11/05/2023	Common Stock	17,000	\$ 11.59	D	Â
Stock Option (Right to Buy)	(3)	02/19/2025	Common Stock	17,000	\$ 13.17	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting of their rando / radaress	Director 10% Owner		Officer	Other		
Ek John Frederick 5964 LA PLACE COURT CARLSBAD, CA 92008	Â	Â	Chief Financial Officer	Â		

## **Signatures**

/s/ Eric Stier, Attorney-in-fact 02/21/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The amount reported reflects common stock held by the Reporting Person as well as restricted stock units granted to a Reporting Person pursuant to the Issuer's 2010 Equity Incentive Plan. Of the reported amount, (a) 60,282 shares represent restricted stock units that vest 25% on the first anniversary of the grant date and the remaining shares subject to each award vest in 12 equal quarterly installments thereafter, and (b) 5,000 shares represent restricted stock units that vest in full on August 1, 2019.
- (2) The stock option was granted on November 5, 2013 and vests as follows: 25% of the stock option vests on the first anniversary of the grant date with the remaining shares vesting in equal monthly installments thereafter over the following three years.
- (3) The stock option was granted on February 19, 2015 and vests as follows: 25% of the stock option vests on the first anniversary of the grant date with the remaining shares vesting in equal monthly installments thereafter over the following three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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