

NetApp, Inc.  
Form 4  
June 05, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Goel Manish

(Last) (First) (Middle)  
495 EAST JAVA DRIVE  
(Street)

SUNNYVALE, CA 94089

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NetApp, Inc. [NTAP]

3. Date of Earliest Transaction (Month/Day/Year)  
06/01/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Exec VP of Product Operations

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                                      |
| Common Stock                    | 06/01/2013                           |  | M                              |   | 16,666  | A  | \$ 0                                       |
| Common Stock                    | 06/01/2013                           |  | M                              |   | 6,250   | A  | \$ 0                                       |
| Common Stock                    | 06/01/2013                           |  | M                              |   | 5,475   | A  | \$ 0                                       |
| Common Stock                    | 06/01/2013                           |  | M                              |   | 11,250  | A  | \$ 0                                       |
| Common Stock                    | 06/01/2013                           |  | F                              |   | 6,486   | D  | \$ 37.53                                   |
|                                 |                                      |  |                                |   |   |  | 19,002                                     |
|                                 |                                      |  |                                |   |   |  | 25,252                                     |
|                                 |                                      |  |                                |   |   |  | 30,727                                     |
|                                 |                                      |  |                                |   |   |  | 41,977                                     |
|                                 |                                      |  |                                |   |   |  | 35,491                                     |

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|              |            |   |       |   |          |                       |   |
|--------------|------------|---|-------|---|----------|-----------------------|---|
| Common Stock | 06/01/2013 | F | 3,261 | D | \$ 37.53 | 32,230                | D |
| Common Stock | 06/01/2013 | F | 2,856 | D | \$ 37.53 | 29,374                | D |
| Common Stock | 06/01/2013 | F | 5,870 | D | \$ 37.53 | 23,504 <sup>(1)</sup> | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title of Underlying Security (Instr. 3) |
|--|--|--------------------------------------|--|--------------------------------|---|--|--|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title                                      |
| Non-Qualified Stock Option (right to buy)  | \$ 37.64   | 06/03/2013                           |  | A                              | 110,000   | <sup>(2)</sup> 06/02/2020                                | Common Stock                               |
| Restricted Stock Unit                      | \$ 0   | 06/01/2013                           |  | M                              | 16,666  | <sup>(3)</sup> 06/01/2013 <sup>(3)</sup>                 | Common Stock                               |
| Restricted Stock Unit                      | \$ 0   | 06/01/2013                           |  | M                              | 6,250   | <sup>(3)</sup> <sup>(3)</sup>                            | Common Stock                               |
| Restricted Stock Unit                      | \$ 0   | 06/01/2013                           |  | M                              | 5,475   | <sup>(3)</sup> <sup>(3)</sup>                            | Common Stock                               |
| Restricted Stock Unit                      | \$ 0   | 06/01/2013                           |  | M                              | 11,250  | <sup>(3)</sup> <sup>(3)</sup>                            | Common Stock                               |
| Restricted Stock Unit                      | \$ 0   | 06/03/2013                           |  | A                              | 36,700  | <sup>(3)</sup> <sup>(3)</sup>                            | Common Stock                               |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships |           |                               |       |
|---|---------------|-----------|-------------------------------|-------|
|   | Director      | 10% Owner | Officer                       | Other |
| Goel Manish<br>495 EAST JAVA DRIVE<br>SUNNYVALE, CA 94089 |               |           | Exec VP of Product Operations |       |

## Signatures

By: Haleh Carrillo, Attorney-in-Fact For:  
Manish Goel

06/05/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Derivative Securities Beneficially Owned includes shares acquired under NetApp Employee Stock Purchase Plan on May 31, 2013.
- (2) Option vests in a series of equal monthly installments over 48 months of service beginning with the one-month anniversary of the grant date.
- (3) The restricted stock unit shares vest as to 25% of the shares on the one-year anniversary of the grant date, and 25% of the shares on each annual anniversary thereafter for the next 3 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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