

WILCOX KEVIN JAMES

Form 4

February 13, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WILCOX KEVIN JAMES**

2. Issuer Name **and** Ticker or Trading  
Symbol  
Altisource Portfolio Solutions S.A.  
[ASPS]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
**40, AVENUE MONTEREY**  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
**02/09/2018**

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
Chief Admin & Risk Officer

**LUXEMBOURG, N4 L-2163**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 02/09/2018                              |                                                             | M                                    | (A)<br>or<br>(D)<br>A                                                   | Amount<br>117,501<br>(1)<br>Price<br>\$<br>9.14                                                                    | 234,470 (2)                                                          | D                                                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control  
number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: WILCOX KEVIN JAMES - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                    | Date<br>Exercisable<br>Expiration<br>Date                      | Title<br>Amount<br>or<br>Number<br>of Shares                        |
| Stock<br>Options                                    | \$ 9.14                                                               | 02/09/2018                              |                                                             | M                                       | 12,917                                                                                                       | 06/15/2011 07/14/2018                                          | Common<br>Stock 12,917                                              |
| Stock<br>Options                                    | \$ 9.14                                                               | 02/09/2018                              |                                                             | M                                       | 12,917                                                                                                       | 06/15/2012 07/14/2018                                          | Common<br>Stock 12,917                                              |
| Stock<br>Options                                    | \$ 9.14                                                               | 02/09/2018                              |                                                             | M                                       | 12,916                                                                                                       | 06/15/2013 07/14/2018                                          | Common<br>Stock 12,916                                              |
| Stock<br>Options                                    | \$ 9.14                                                               | 02/09/2018                              |                                                             | M                                       | 12,917                                                                                                       | 07/14/2011 07/14/2018                                          | Common<br>Stock 12,917                                              |
| Stock<br>Options                                    | \$ 9.14                                                               | 02/09/2018                              |                                                             | M                                       | 12,916                                                                                                       | 07/14/2012 07/14/2018                                          | Common<br>Stock 12,916                                              |
| Stock<br>Options                                    | \$ 9.14                                                               | 02/09/2018                              |                                                             | M                                       | 1,252                                                                                                        | 12/23/2010 07/14/2018                                          | Common<br>Stock 1,252                                               |
| Stock<br>Options                                    | \$ 9.14                                                               | 02/09/2018                              |                                                             | M                                       | 25,833                                                                                                       | 12/23/2011 07/14/2018                                          | Common<br>Stock 25,833                                              |
| Stock<br>Options                                    | \$ 9.14                                                               | 02/09/2018                              |                                                             | M                                       | 25,833                                                                                                       | 12/23/2012 07/14/2018                                          | Common<br>Stock 25,833                                              |

## Reporting Owners

| Reporting Owner Name / Address                                     | Relationships                    |
|--------------------------------------------------------------------|----------------------------------|
|                                                                    | Director 10% Owner Officer Other |
| WILCOX KEVIN JAMES<br>40, AVENUE MONTEREY<br>LUXEMBOURG, N4 L-2163 | Chief Admin & Risk Officer       |

## Signatures

/s/ Teresa L. Denoncourt,  
Attorney-in-Fact 02/13/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

## Edgar Filing: WILCOX KEVIN JAMES - Form 4

Acquired by the exercise of options granted pursuant to a stock option award that expires on July 14, 2018. Mr. Wilcox elected to pay the exercise price and taxes associated with all 117,501 exercised options and retain the resulting 117,501 shares.

Includes (i) 13,667 time-based restricted shares of ASPS common stock, which are scheduled to vest (and will be issued) in two equal installments on the third and fourth anniversaries of the April 15, 2015 grant date (i.e., April 15, 2018 and April 15, 2019) and (ii) 3,016

- (2) time-based restricted shares of ASPS common stock, which are scheduled to vest (and will be issued) in three equal installments on the first, second and third anniversaries of the April 7, 2017 grant date (i.e., April 7, 2018, April 7, 2019 and April 7, 2020). Mr. Wilcox has no voting rights with respect to these shares until they vest.

- (3) Following the reported transaction, Mr. Wilcox holds no vested options relating to this grant. Mr. Wilcox holds a total of 132,667 vested options from other grants.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.