**Duff Mark** Form 4 August 15, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **Duff Mark** 

(Street)

(State)

2. Issuer Name and Ticker or Trading

Issuer

Symbol

PERMA FIX ENVIRONMENTAL SERVICES INC [pesi]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Zip)

(Month/Day/Year)

Director 10% Owner Other (specify X\_ Officer (give title

Executive Vice President/COO

below)

8302 DUNWOODY PLACE, SUITE 08/14/2017

250

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ATLANTA, GA 30350

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securion(A) or Don(D) (Instr. 3, | ispose           | d of       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--------------------------------------|-----------------------------------------|-----------------------------------------|-------------------------------------|------------------|------------|------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------|
|                                      |                                         | Code V                                  | Amount                              | (A)<br>or<br>(D) | Price      | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   | (23.5.1.1)                                                           | (11311)                                               |
| Common<br>Stock                      | 08/14/2017                              | P                                       | 1,500                               | A                | \$<br>3.45 | 4,500                                                            | D                                                                    |                                                       |
| Common<br>Stock                      | 08/15/2017                              | P                                       | 1,500                               | A                | \$ 3.4     | 6,000                                                            | D                                                                    |                                                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. Conversion                                     | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4.<br>Transacti    | 5.<br>orNumber                                                                | 6. Date Exerc                    |                    | 7. Title and Amount of Underlying Securities |                                  | 8. Pri<br>Deriv |
|------------------------|---------------------------------------------------|--------------------------------------|-------------------------------|--------------------|-------------------------------------------------------------------------------|----------------------------------|--------------------|----------------------------------------------|----------------------------------|-----------------|
| Security (Instr. 3)    | or Exercise<br>Price of<br>Derivative<br>Security | (Monumbay, Tear)                     | any (Month/Day/Year)          | Code<br>(Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date (Month/Day/Year) |                    | (Instr. 3 and 4)                             |                                  | Secur<br>(Instr |
|                        |                                                   |                                      |                               | Code V             | (A) (D)                                                                       | Date<br>Exercisable              | Expiration<br>Date | Title                                        | Amount or<br>Number of<br>Shares |                 |
| Stock<br>Option        | \$ 3.97                                           |                                      |                               |                    |                                                                               | <u>(1)</u>                       | 05/15/2022         | Common<br>Stock                              | 50,000                           |                 |
| Stock<br>Option        | \$ 3.65                                           |                                      |                               |                    |                                                                               | (2)                              | 07/27/2023         | Common<br>Stock                              | 100,000                          |                 |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Duff Mark 8302 DUNWOODY PLACE SUITE 250 ATLANTA, GA 30350

Executive Vice President/COO

## **Signatures**

/s/Mark Duff 08/15/2017

\*\*Signature of Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Incentive Stock Option granted 05/15/2016 under the Company's 2010 Stock Option Plan. The option is for a 6 year period and vests over a 3 year period, at 1/3 increment per year.
- (2) Incentive stock option granted 07/27/2017 under the Company's 2017 Stock Option Plan. The option is for a 6 year period and vests over a 5 year period, at 1/5 increment per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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