NovaBay Pharmaceuticals, Inc.
Form 3
January 08, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Â Hall Justin
(Last) (First) (Middle)

C/O NOVABAY PHARMACEUTICALS, INC.,Â 5980 HORTON STREET, SUITE 550
(Street)

EMERYVILLE,Â CAÂ 94608
(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)
12/29/2015

3. Issuer Name **and** Ticker or Trading Symbol
NovaBay Pharmaceuticals, Inc. [NBY]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
General Counsel

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	400	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Incentive Stock Option (right to buy)	Â (1)	02/01/2023	Common Stock	1,200	$ 30.5	D	Â
Incentive Stock Option (right to buy)	Â (2)	09/26/2023	Common Stock	760	$ 42.75	D	Â
Incentive Stock Option (right to buy)	Â (3)	09/26/2024	Common Stock	1,200	$ 18.75	D	Â
Incentive Stock Option (right to buy)	Â (4)	10/01/2025	Common Stock	2,000	$ 6.75	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Stock	1,000	$ (6)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hall Justin C/O NOVABAY PHARMACEUTICALS, INC. 5980 HORTON STREET, SUITE 550 EMERYVILLE,Â CAÂ 94608	Â	Â	Â General Counsel	Â

Signatures

/s/ Justin M. Hall	01/08/2016
**Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents options granted pursuant to the 2007 Omnibus Incentive Plan. 25% vested on February 1, 2014, the first anniversary of the grant date. 6.25% vests every three months thereafter.

(2) Represents options granted pursuant to the 2007 Omnibus Incentive Plan. 25% vested on September 26, 2014, the first anniversary of the grant date. 6.25% vests every three months thereafter.

(3) Represents options granted pursuant to the 2007 Omnibus Incentive Plan. 25% vested on September 26, 2015, the first anniversary of the grant date. 6.25% vests every three months thereafter.

(4) Represents options granted pursuant to the 2007 Omnibus Incentive Plan. 25% will vest on October 1, 2016, the first anniversary of the grant date. 6.25% vests every three months thereafter.

(5) Represents restricted stock units granted on October 1, 2015 pursuant to the 2007 Omnibus Incentive Plan. 100% will vest on October 1, 2016, the first anniversary of the grant date.

(6) Each restricted stock unit is the economic equivalent of one share of NovaBay Pharmaceuticals, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.