Edgar Filing: HEINRICHS R STEPHEN - Form 4

HEINRICHS R STEPHEN

Form 4

November 01, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

3235-0287 Number: January 31, Expires: 2005

OMB APPROVAL

Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

0.5

Estimated average

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * HEINRICHS R STEPHEN			2. Issuer Name and Ticker or Trading Symbol PDF SOLUTIONS INC [PDFS]					5. Relationship of Reporting Person(s) to Issuer			
(Last) 333 W. SA 1000	(First) ((Middle) SUITE		of Earliest '/Day/Year) 2012	Fransactio	n		_X_ Director Officer (give below)		Ole) Owner ther (specify	
SAN JOSE	(Street) E, CA 95110			nendment, I onth/Day/Ye	υ	nal		6. Individual or J Applicable Line) _X_ Form filed by Form filed by J Person	One Reporting	Person	
(City)	(State)	(Zip)	Tal	ble I - Non-	-Derivativ	e Sec	urities Acqu	aired, Disposed o	f, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Day	Date, if	3. Transaction Code (Instr. 8)		sed of	` /	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	10/31/2012			A	1,500	A	\$ 13.1744 (1)	7,125 <u>(2)</u>	I	Fairview Financial Corporation	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transact Code (Instr. 8)	orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	:		Amou Under Securi (Instr.	lying	Derivative Security (Instr. 5)	Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
HEINRICHS R STEPHEN							
333 W. SAN CARLOS ST.	X						
SUITE 1000	Λ						
SAN JOSE, CA 95110							

Signatures

/s/ Gregory Walker, Attorney-in-Fact for R. Stephen Heinrichs

11/01/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were purchased in multiple brokers' transactions at various market prices per share ranging from \$13.08 to 13.22.
- (2) Reduced by 1,875 shares of common stock which was inadvertently incorrectly reported under Form 4 dated 5/22/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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