Siew Kuan Soon Form 3 March 12, 2019

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TRIO-TECH INTERNATIONAL [TRT] A Siew Kuan Soon (Month/Day/Year) 06/22/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O TRIO-TECH (Check all applicable) INTERNATIONAL, Â 16139 WYANDOTTE ST 10% Owner Director (Street) Officer \_ Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Vice President \_X\_ Form filed by One Reporting Person VAN NUYS, CAÂ 91406 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 21,350 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Siew Kuan Soon - Form 3

|  | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares | Security | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|--|---------------------|--------------------|-----------------|----------------------------------|----------|--|---|
| Employee Stock Option (Right to Buy) (1) | 12/14/2010          | 12/13/2015         | Common<br>Stock | 7,500                            | \$ 4.35  | D  | Â |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |                  |       |  |
|--------------------------------|---------------|-----------|------------------|-------|--|
|                                | Director      | 10% Owner | Officer          | Other |  |
| Siew Kuan Soon                 |               |           |                  |       |  |
| C/O TRIO-TECH INTERNATIONAL    | â             | â         | Vice President   | â     |  |
| 16139 WYANDOTTE ST             | A             | A         | A vice President | Α     |  |
| VAN NUYS, CA 91406             |               |           |                  |       |  |

# **Signatures**

/s/ Soon Siew
Kuan

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person is filing this Form 3 with information as of the Date of Event Requiring Statement noted in box 2 above. The reporting person held the option being reported in Table II above as of such Date of Event but such option expired by its terms, without exercise, on December 13, 2015. The reporting person has had no reportable transactions since the Date of Event Requiring Statement noted in box 2 above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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