MERCANTILE BANK CORP

Form 4

November 18, 2016

FORM	N /1									PPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287			
	Check this box								Expires:	January 31,		
subject to Section 1	if no longer subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Estimated burden hou	Estimated average burden hours per response 0.5		
Form 5 obligatio may con See Instr 1(b).	ns Section 1'	7(a) of the		ility Hold	ing Com	pany	Act o	ge Act of 1934, of 1935 or Section 40				
(Print or Type l	Responses)											
Worthington Robert T. Symbo				Name and			g	5. Relationship of Reporting Person(s) to Issuer				
	MERCA [MBWM	NTILE B []	SANK CO	JRP		(Check all applicable)						
(Last)	3. Date of (Month/Da	Earliest Tra ay/Year)	nsaction			Director 10% Owner Officer (give title Other (specify						
	ANTILE BAN TION, 310 LEC		11/17/20	-				below) SENIOR V.P	below) ., GENERAL C	COUNSEL		
				ndment, Date Original h/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(State)	(Zip)	Table	I Non De	: 4 : 6	·	4 :	Person	.f Dfi-i-	ll O d		
1.Title of	2. Transaction D			3.			ties Ac	quired, Disposed of 5. Amount of				
Security (Instr. 3)	(Month/Day/Ye	ar) Executi any	Execution Date, if		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
Common Stock	11/17/2016			A	2,500	A	\$0	5,500	D			
Common Stock								14,760.816 (1)	I	In 401(k) plan		
Reminder: Rep	oort on a separate li	ne for each c	lass of secur	ities benefic	•		•	·				
					informa require	ation d to	conta respor	ond to the colle- ined in this form nd unless the for ly valid OMB co	are not m	SEC 1474 (9-02)		

number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number one f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 36.22	11/17/2016		A	500	11/17/2018	11/16/2023	Common Stock	500

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Worthington Robert T. C/O MERCANTILE BANK CORPORATION 310 LEONARD ST. GRAND RAPIDS, MI 49504

SENIOR V.P., GENERAL COUNSEL

D

Signatures

/s/ Bradley J. Wyatt, Attorney-in-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired pursuant to the issuer's 401(k) Plan since last report of common stock ownership.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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