## Edgar Filing: FORUM ENERGY TECHNOLOGIES, INC. - Form 4

#### FORUM ENERGY TECHNOLOGIES, INC.

Form 4

January 17, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

**OMB APPROVAL** 

2005

Number: January 31, Expires:

Estimated average burden hours per 0.5

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Mercado, Pablo G. Issuer Symbol FORUM ENERGY (Check all applicable) TECHNOLOGIES, INC. [FET] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 920 MEMORIAL CITY 01/16/2014 VP - Corporate Development WAY, SUITE 1000 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HOUSTON, TX 77024 (City) (State) (Zip) tive Securities Acquired Disposed of or Daneficially

(,)	()	Tabl	e I - Non-D	erivative	Secur	ities Acq	uirea, Disposea o	t, or Beneficial	ly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securi			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)		any	Code	(Instr. 3,	4 and	5)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(4)		Reported		
					(A)		Transaction(s)		
			Code V	Amount		Price	(Instr. 3 and 4)		
Common	01/1/2014		M	1 000		\$	20.726	Ъ	
Stock	01/16/2014		M	1,000	Α	15.35	20,736	D	
Common Stock	01/17/2014		M	1,600	A	\$ 15.35	22,336	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 15.35	01/16/2014		M	1,000	<u>(1)</u>	12/02/2021	Common Stock	1,000
Employee Stock Option (Right to Buy)	\$ 15.35	01/17/2014		M	1,600	(2)	12/02/2021	Common Stock	1,600

## **Reporting Owners**

Relationships						
Director	10% Owner	Officer	Other			
		VP - Corporate Development				
	Director		Director 10% Owner Officer  VP - Corporate			

## **Signatures**

/s/ Pablo G. Mercado by John C. Ivascu as Attorney-in-Fact 01/17/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 15,650 of these options, granted under the Forum Energy Technologies, Inc. 2010 Stock Incentive Plan, are currently exercisable. The (1) remaining 16,650 options are exercisable in accordance with the following schedule: 1/2 of the options become exercisable on each of the third and fourth anniversaries of the date of grant (12/2/2011).
- (2) 14,050 of these options, granted under the Forum Energy Technologies, Inc. 2010 Stock Incentive Plan, are currently exercisable. The remaining 16,650 options are exercisable in accordance with the following schedule: 1/2 of the options become exercisable on each of the

Reporting Owners 2

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third and fourth anniversaries of the date of grant (12/2/2011).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.