

APACHE CORP  
Form 4  
January 05, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**LANNIE P ANTHONY**

(Last) (First) (Middle)  
2000 POST OAK BLVD., SUITE 100  
(Street)

HOUSTON, TX 77056

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**APACHE CORP [APA]**

3. Date of Earliest Transaction (Month/Day/Year)  
01/04/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Exec. Vice Pres & Gen Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |   |
| Common Stock                    | 01/04/2017                           |  | M <sup>(1)</sup>               |   | 2,080 A \$ 0  | 40,448   | D   |
| Common Stock                    | 01/04/2017                           |  | F <sup>(2)</sup>               |   | 873 D \$ 63.47  | 39,575   | D   |
| Common Stock                    | 01/04/2017                           |  | M <sup>(3)</sup>               |   | 5,150 A \$ 0  | 44,725   | D   |
| Common Stock                    | 01/04/2017                           |  | F <sup>(4)</sup>               |   | 2,161 D \$ 63.47  | 42,564   | D   |
| Common Stock                    |                                      |  |                                |   |   | 9,975.211  | I   |

Held by Trustee of NQ Plan

|                 |           |   |   |
|-----------------|-----------|---|---|
| Common<br>Stock | 1,019.839 | I | Held by<br>Trustee of<br>401(k)<br>Plan |
|-----------------|-----------|---|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Amount<br>or<br>Number<br>of<br>Shares |                 |       |
|---|--|---|---|--------------------------------------|---|--|---|---|-----------------|-------|
|   |  |   |   | Code                                 | V   | (A)  | (D)   |   |                 |       |
| Restricted<br>Stock /<br>Units <sup>(5)</sup>       | \$ 0 <sup>(6)</sup>  | 01/04/2017                              |   | A                                    |   | 5,317  | <u>(7)</u>  | <u>(7)</u>                                | Common<br>Stock | 5,317 |
| Restricted<br>Stock /<br>Units <sup>(5)</sup>       | \$ 0 <sup>(6)</sup>  | 01/04/2017                              |   | M                                    |   | 2,080  | <u>(1)</u>  | <u>(1)</u>                                | Common<br>Stock | 2,080 |
| Restricted<br>Stock /<br>Units <sup>(5)</sup>       | \$ 0 <sup>(6)</sup>  | 01/04/2017                              |   | M                                    |   | 5,150  | <u>(3)</u>  | <u>(3)</u>                                | Common<br>Stock | 5,150 |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships                             |
|---|---|
|   | Director    10% Owner    Officer    Other |
| LANNIE P ANTHONY<br>2000 POST OAK BLVD.<br>SUITE 100<br>HOUSTON, TX 77056 | Exec. Vice Pres & Gen Counsel             |

## Signatures

Raj Sharma,  
Attorney-in-Fact

01/05/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) RSU vesting under 2013 Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from the plan administrator on 01/04/2017.
- (2) Shares withheld to cover required tax withholding on RSU vesting under 2013 Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from plan administrator on 01/04/2017.
- (3) RSU vesting under 2014 Business Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from the plan administrator on 01/04/2017.
- (4) Shares withheld to cover required tax withholding on RSU vesting under 2014 Business Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from plan administrator on 01/04/2017.
- (5) With tandem tax withholding right
- (6) One share of Apache common stock for each restricted stock unit.
- (7) Restricted stock units granted 12/30/2016 under employer plan - data provided by plan administrator on 01/04/2017. The units vest 100% on 01/01/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.