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NCI BUILDING SYSTEMS INC Form 4 September 29, 2006 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading GINN A R JR Issuer Symbol NCI BUILDING SYSTEMS INC (Check all applicable) [NCS] (Last) (First) (Middle) 3. Date of Earliest Transaction _X_ Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) 10943 NORTH SAM HOUSTON 09/27/2006 Chairman & CEO PARKWAY WEST (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting HOUSTON, TX 77064 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1.Title of 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned Ownership (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common NCI Stock, 987 I 401(k) \$0.01 par Plan (1) value Common Stock, 09/27/2006 Μ 1.800 187,444 D Α 15.15 \$0.01 par value Common 09/28/2006 Μ 1.376 \$ 188,820 D Α Stock. 15.15 \$0.01 par

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value							
Common Stock, \$0.01 par value	09/28/2006	М	1,429	A	\$ 17.5	190,249	D
Common Stock, \$0.01 par value	09/28/2006	М	2,423	A	\$ 20.64	192,672	D
Common Stock, \$0.01 par value	09/28/2006	М	2,760	A	\$ 18.12	195,432	D
Common Stock, \$0.01 par value	09/28/2006	М	2,912	A	\$ 29.2	198,344	D
Common Stock, \$0.01 par value	09/27/2006	S	200	D	\$ 60	198,144	D
Common Stock, \$0.01 par value	09/27/2006	S	100	D	\$ 60.01	198,044	D
Common Stock, \$0.01 par value	09/27/2006	S	400	D	\$ 60.02	197,644	D
Common Stock, \$0.01 par value	09/27/2006	S	200	D	\$ 60.04	197,444	D
Common Stock, \$0.01 par value	09/27/2006	S	400	D	\$ 60.05	197,044	D
Common Stock, \$0.01 par value	09/27/2006	S	300	D	\$ 60.06	196,744	D
Common Stock, \$0.01 par value	09/27/2006	S	200	D	\$ 60.07	196,544	D

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Common						
Stock, \$0.01 par	09/28/2006	S	10,900 l	D \$60	185,644	D
value						

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	onof De Secu: Acqu (A) o Dispo (D)	rities hired or osed of :. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying S	Title and Amount of Underlying Securities Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to purchase common stock	\$ 15.15	09/27/2006		М		1,800	(2)	12/14/2011	Common stock, \$0.01 par value	1,800	
Option to purchase common stock	\$ 15.15	09/28/2006		М		1,376	(2)	12/14/2011	Common stock, \$0.01 par value	1,376	
Option to purchase common stock	\$ 17.5	09/28/2006		М		1,429	(3)	06/14/2012	Common stock, \$0.01 par value	1,429	
Option to purchase common stock	\$ 20.64	09/28/2006		М		2,423	<u>(4)</u>	12/14/2012	Common stock, \$0.01 par value	2,463	
Option to purchase common stock	\$ 18.12	09/28/2006		М		2,760	(5)	06/14/2013	Common stock, \$0.01 par value	2,760	
	\$ 29.2	09/28/2006		М		2,912	(6)	05/27/2014		2,912	

Option to purchase common stock

Common stock, \$0.01 par value

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GINN A R JR 10943 NORTH SAM HOUSTON PARKWAY WEST HOUSTON, TX 77064	Х		Chairman & CEO				

Signatures

Frances Powell Hawes (by power of attorney)

09/29/2006

Date

Signature of Reporting Person **Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Holdings under 401(k) plan are reported as of September 27, 2006.
- (2) Options vest in four equal installments beginning on December 15, 2002.
- (3) Options vest in four equal installments beginning on June 15, 2003.
- (4) Options vest in four equal installments beginning on December 15, 2003.
- (5) Options vest in four equal installments beginning on June 15, 2004.
- (6) Options vest in four equal installments beginning on May 28, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.