PERNIX THERAPEUTICS HOLDINGS, INC.

Form 8-K November 06, 2013

#### **UNITED STATES**

# SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 4, 2013

#### PERNIX THERAPEUTICS HOLDINGS, INC.

(Exact name of registrant as specified in its charter)

Maryland
(State or other jurisdiction of incorporation)

001-14494

33-0724736

(Commission File Number)

(IRS Employer Identification

No.)

10863 Rockley Road Houston, TX (Address of principal executive offices)

77354 (Zip Code)

Registrant's telephone number, including area code: (832) 934-1825

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 8.01 Other Events.

On November 4, 2013, Pernix Therapeutics Holdings, Inc. ("the Company") received notice from the Listing Qualifications Department of the Nasdaq Stock Market that the Company has regained compliance with the Nasdaq Listing Standards by curing the Company's previously reported failure to comply with the Marketplace Rule 5605 which requires that the Audit Committee be comprised of at least three independent directors. The Company recently appointed James E. Smith, Jr., a current director, to be the third independent member of the Audit Committee in order to comply with the rule.

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### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

# PERNIX THERAPEUTICS HOLDINGS, INC.

Dated: November 6, 2013 By: /s/ Tracy Clifford

Tracy Clifford

Principal Financial and Accounting

Officer

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