Edgar Filing: Blanchard Anthem H. - Form 4

| Blanchard Ar Form 4 | | | | | | | | | | | |
|--|------------------------------------|---|---|--|--|--------|---|--|--|----------------------|--|
| April 17, 201 FORM | 4 UNITE | D STATES | | | ND EXC D.C. 205 | | IGE (| COMMISSION | | PPROVAL 3235-0287 | |
| Check this if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b). | ursuant to 7(a) of the | F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940 | | | | | | burden hou response | Estimated average burden hours per response 0.5 | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| Blanchard Anthem H. Syr PE | | | Symbol PERNIX | 2. Issuer Name and Ticker or Trading Symbol PERNIX THERAPEUTICS HOLDINGS, INC. [PTX] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (M | | | (Month/D | 3. Date of Earliest Transaction(Month/Day/Year)03/22/2012 | | | | _X_ Director 10% Owner Officer (give title Other (specify below) below) | | | |
| | | | endment, Date Original nth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| THE WOOD | DLANDS, TX | 77380 | | | | | | Person | Aore than One Re | eporting | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative S | ecurit | ies Acq | uired, Disposed of | f, or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction D (Month/Day/Yea | ar) Executio any | | Code | 4. Securiti on(A) or Dis (D) (Instr. 3, 4 Amount | sposed | of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 03/22/2012 | | | A <u>(1)</u> | 10,000 | А | \$0 | 45,000 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date (Month/Day/Year | | | C |
|---|---|---|---|--|--|------------------------------------|--------------------|-----------------|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Options (right to buy) | \$ 9.02 | 03/22/2012 | | А | 10,000 | 03/22/2013 <u>(2)</u> | 03/22/2022 | common stock | 10,000 |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | |
|---|------------|---------------|---------|-------|--|--|--|
| Toporong o who ite | Director | 10% Owner | Officer | Other | | | |
| Blanchard Anthem H. 10003 WOODLOCH F #950 THE WOODLANDS, 7 | Х | | | | | | |
| Signatures | | | | | | | |
| /s/Anthem H. Blanchard | 04/17/2012 | 2 | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a grant of restricted stock from the Company that vests in 1/3 annual increments beginning on March 22, 2013 and ending on the second anniversary thereof.
- (2) The stock options are exercisable in 1/3 annual increments beginning the date indicated and ending on the second anniversary thereof.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.