

Duke Energy CORP  
Form 3/A  
November 28, 2006

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |   |  |   |
|---|---------|---|--|---|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement  | 3. Issuer Name <b>and</b> Ticker or Trading Symbol       |   |
| Â BARRON HENRY B JR                       |         | (Month/Day/Year)  | Duke Energy CORP [DUK]                                   |   |
| (Last)                                    | (First) | (Middle)  | 10/24/2006   |   |
| 526 S. CHURCH STREET                      |         | 4. Relationship of Reporting Person(s) to Issuer  |  |   |
| (Street)                                  |         | (Check all applicable)  |  |   |
| CHARLOTTE, Â NC Â 28202                   |         | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below)    (specify below)<br>Grp Exec;Chief Nuclear Officer |  |   |
| (City)                                    | (State) | (Zip)   | 5. If Amendment, Date Original Filed(Month/Day/Year)     |   |
|   |         |   | 11/03/2006   |   |
| 1. Title of Security (Instr. 4)           |         | 2. Amount of Securities Beneficially Owned (Instr. 4)   | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
| Common Stock                              | 52,405  |   | D  | Â   |
| Common Stock                              | 8,978   |   | I  | By Retirement Savings Plan                            |

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

|  |  |   |   |   |   |
|--|--|---|---|---|---|
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|---|---|---|

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|                                   | Date Exercisable | Expiration Date | Title        | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) |   |
|-----------------------------------|------------------|-----------------|--------------|----------------------------|----------|---------------------------------------|---|
| LTIP Phantom Stock Grant Apr 2006 | Â (1)            | Â (2)           | Common Stock | 10,520 (3)                 | \$ (4)   | D                                     | Â |
| LTIP Phantom Stock Grant Feb 2004 | Â (1)            | Â (2)           | Common Stock | 6,204                      | \$ (4)   | D                                     | Â |
| LTIP Phantom Stock Grant Feb 2005 | Â (1)            | Â (2)           | Common Stock | 9,576                      | \$ (4)   | D                                     | Â |
| Phantom Stock ESP I               | Â (5)            | Â (2)           | Common Stock | 16,713                     | \$ (4)   | D                                     | Â |
| Phantom Stock ESP II              | Â (5)            | Â (2)           | Common Stock | 1,032                      | \$ (4)   | D                                     | Â |
| Stock Option (Right to Buy)       | 02/17/2002       | 02/17/2008      | Common Stock | 10,600                     | \$ 27.63 | D                                     | Â |
| Stock Option (Right to Buy)       | 02/17/2003       | 02/17/2009      | Common Stock | 16,000                     | \$ 29.66 | D                                     | Â |
| Stock Option (Right to Buy)       | 12/20/2003       | 12/20/2009      | Common Stock | 17,400                     | \$ 24.88 | D                                     | Â |
| Stock Option (Right to Buy)       | 12/20/2004       | 12/20/2010      | Common Stock | 10,400                     | \$ 42.81 | D                                     | Â |
| Stock Option (Right to Buy)       | 12/19/2005       | 12/19/2011      | Common Stock | 11,400                     | \$ 37.68 | D                                     | Â |
| Stock Option (Right to Buy)       | Â (6)            | 02/25/2013      | Common Stock | 5,950                      | \$ 13.77 | D                                     | Â |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |                                  |       |
|--|---------------|-----------|----------------------------------|-------|
|  | Director      | 10% Owner | Officer                          | Other |
| BARRON HENRY B JR<br>526 S. CHURCH STREET<br>CHARLOTTE, NC 28202 | Â             | Â         | Â Grp Exec;Chief Nuclear Officer | Â     |

## Signatures

By: David S. Maltz,  
Attorney-in-fact for

11/28/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) The phantom stock vests in 5 equal annual installments beginning on the first anniversary of the grant date.
- (2) Expiration date not applicable.
- (3) The share amount of the phantom stock grant was incorrectly reported on the initial Form 3. The correct number of shares for the grant is 10,520.
- (4) Converts to Common Stock on a 1-for-1 basis.
- (5) Payable upon termination of employment or occurrence of other events as specified in the Plan.
- (6) Vested in four annual, equal installments beginning on February 25, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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