

Duke Energy CORP
Form 3
April 13, 2006

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â ROGERS JAMES E		(Month/Day/Year)	Duke Energy CORP [DUK]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
526 S. CHURCH STREET				
(Street)			(Check all applicable)	
			☒ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) President & CEO	
CHARLOTTE,Â NCÂ 28202			6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person	
(City)	(State)	(Zip)		

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	830,982.36 ⁽¹⁾	D	Â
Common Stock	14,944.4031 ⁽¹⁾	I	By 401(k)
Common Stock	390,000 ⁽¹⁾	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
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			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date	Expiration	Title	Amount or	Derivative	Security:	
	Exercisable	Date		Number of	Security	Direct (D)	
				Shares		or Indirect	
						(I)	
						(Instr. 5)	
Phantom Stock	Â (2)	Â (2)	Common Stock	134,908.189 (1)	\$ (3)	D	Â
Phantom Stock	Â (2)	Â (2)	Common Stock	243,858.466 (1)	\$ (4)	D	Â
Stock Option (Right to buy)	Â (5)	03/24/2008	Common Stock	583,233 (1)	\$ 23.638	D	Â
Stock Option (Right to buy)	01/01/2007	01/01/2014	Common Stock	216,216 (1)	\$ 24.837	D	Â
Stock Option (Right to buy)	01/01/2008	01/01/2015	Common Stock	214,188 (1)	\$ 26.788	D	Â
Stock Option (Right to buy)	01/01/2009	01/01/2016	Common Stock	213,720 (1)	\$ 27.208	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROGERS JAMES E 526 S. CHURCH STREET CHARLOTTE, NC 28202	Â X	Â	Â President & CEO	Â

Signatures

By: David S. Maltz,
attorney-in-fact

04/13/2006

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On April 3, 2006, Cinergy Corp. ('Issuer') merged into a wholly-owned subsidiary of Duke Energy Holding Corp. (now known as Duke Energy Corporation) ('Duke Energy'). In connection with the merger, each share of Issuer's common stock was converted into the right to receive 1.56 shares of Duke Energy's common stock and each stock option of Issuer was converted by multiplying the number of Issuer shares previously covered by the option by 1.56 and dividing the prior exercise price of the option by 1.56.

(2) Generally payable upon reporting person's termination of service.

(3) The derivative security converts into common stock on a one-for-one basis and will be settled in stock.

(4) The derivative security converts into common stock on a one-for-one basis and will be settled in cash.

(5) The reporting person was granted 480,000 stock options on March 24, 1998. The options became exercisable in five equal annual installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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