

GLU MOBILE INC
Form 3
March 21, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

BAVP, L.P.

(Last) (First) (Middle)

950 TOWER LANE, SUITE 700

(Street)

FOSTER CITY, CA 94404

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

03/21/2007

3. Issuer Name and Ticker or Trading Symbol
GLU MOBILE INC [GLUU]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director ____X____ 10% Owner
____ Officer ____ Other
(give title below) (specify below)

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
____ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

11,000 ⁽¹⁾

I ⁽²⁾

By Sharon L. Weinbar ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Series C Preferred Stock	Â (3)	Â (3)	Common Stock	1,997,631	\$ (3)	D (4)	Â
Series D Preferred Stock	Â (5)	Â (5)	Common Stock	307,950	\$ (5)	D (4)	Â
Junior Preferred Stock	Â (6)	Â (6)	Common Stock	95,238	\$ (6)	D (4)	Â
Stock Option (Right to Buy)	Â (7)	03/21/2017	Common Stock	33,000	\$ 11.5	I (2)	By Sharon L. Weinbar (2)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BAVP, L.P. 950 TOWER LANE SUITE 700 FOSTER CITY, CA 94404	Â	Â X	Â	Â
BA Venture Partners VI, LLC 950 TOWER LANE SUITE 700 FOSTER CITY, CA 94404	Â	Â X	Â	Â

Signatures

/s/ Sharon L. Weinbar, Member of Scale Venture Management I, LLC (f/k/a BA Venture Partners VI, LLC), General Partner of BAVP, L.P.

03/21/2007

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to an award of restricted stock to Sharon L. Wienbar, 16 2/3% of which shall vest six months from the Vesting Commencement Date (03/21/2007) and thereafter at a rate of 1/36 of the award per month at a price of \$0.0001 per share.
Pursuant to the policies of Scale Venture Management I, LLC, Ms. Wienbar, a member of Scale Venture Management I, LLC, is deemed
- (2) to hold the option and the restricted stock award for its benefit and must exercise the option and/or the award solely at its direction. As a result, Scale Venture Management I, LLC may be deemed to be the indirect beneficial owner of the option and the award.
- (3) The Series C Preferred Stock has no expiration date and is convertible at any time at the option of the holder and will automatically convert to common stock on a one-to-one basis upon the closing of the Issuer's initial public offering for no additional consideration.
The voting and disposition of these shares held by BAVP, L.P. is determined by Scale Venture Management I, LLC, the ultimate general
- (4) partner of BAVP, L.P. Such decisions by Scale Venture Management I, LLC are, in turn, determined by a majority-in-interest of its managing members.
- (5) The Series D Preferred Stock has no expiration date and is convertible at any time at the option of the holder and will automatically convert to common stock on a one-to-one basis upon the closing of the Issuer's initial public offering for no additional consideration.
- (6)

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The Junior Preferred Stock has no expiration date and is convertible at any time at the option of the holder and will automatically convert to common stock on a one-to-one basis upon the closing of the Issuer's initial public offering for no additional consideration.

- (7) 16 2/3% of the option shall vest six months from the Vesting Commencement Date (03/21/2007) and thereafter at a rate of 1/36 of the option per month.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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