

Edwards Jeffrey S
Form 3/A
January 06, 2009

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Edwards Jeffrey S

(Last) (First) (Middle)

49200 HALYARD
DRIVE, P.O. BOX 8010

(Street)

PLYMOUTH, MI 48170

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/26/2004

3. Issuer Name and Ticker or Trading Symbol
JOHNSON CONTROLS INC [JCI]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner

X Officer Other

(give title below) (specify below)

Vice President

5. If Amendment, Date Original Filed(Month/Day/Year)

06/07/2004

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

14,070.962 (1) (2)

D

^

Common Stock

4,967.748 (3)

I

By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Phantom Stock Units - EICP	Â (4)	Â (4)	Common Stock	6,164.43	\$ (5)	D	Â
Phantom Stock Units - Restricted Stock Plan	Â (6)	Â (6)	Common Stock	99.108	\$ (5)	D	Â
Stock Option	11/15/2002	11/15/2010	Stock Option	11,550	\$ 28.4219	D	Â
Stock Option	11/14/2003(7)	11/14/2011	Stock Option	17,600	\$ 40.115	D	Â
Stock Option	11/20/2004(7)	11/20/2012	Stock Option	20,000	\$ 40.2975	D	Â
Stock Option	11/19/2005(7)	11/19/2013	Stock Option	20,000	\$ 52.55	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Edwards Jeffrey S 49200 HALYARD DRIVE P.O. BOX 8010 PLYMOUTH,Â MIÂ 48170	Â	Â	Â Vice President	Â

Signatures

Arlene D. Gumm Attorney-In-Fact for Jeffrey S. Edwards 01/06/2009

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Prior to the reporting person becoming subject to Section 16, a sale of 201 shares of the common stock of Johnson Controls, Inc. occurred. This sale was not reflected in the number of shares reported as beneficially owned by the reporting person at the time the filing was made. This filing reflects the correct number of shares beneficially owned.
- (2) Includes a grant of 12,000 shares of restricted stock. The shares vest as follows: 7,000 on 1/2/2006 and 5,000 on 1/2/2008.
- (3) The number of underlying securities is based on the stock fund balance on 5/27/2004. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a 5/27/2004 stock fund price of \$54.06 per share.
- (4) The phantom stock units were accrued under the Johnson Controls Executive Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (5) The phantom stock units convert to the common stock's cash value on a one-for-one basis.

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- (6) The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- (7) Fifty percent of the options vest after two years and the remaining 50% vests after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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