

Hunt Anthony
Form 4
March 04, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hunt Anthony

(Last) (First) (Middle)

C/O REPLIGEN CORPORATION, 41 SEYON ST., BLDG 1, STE 100

(Street)

WALTHAM, MA 02453

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
REPLIGEN CORP [RGEN]

3. Date of Earliest Transaction (Month/Day/Year)
02/28/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chief Executive Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/28/2019		A	(A) Code V Amount (D) Price 22,681 (1) \$ 0	250,132	D	
Common Stock	02/28/2019		S(2)	7,475 (3) \$ 59.01	242,657	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 59.52	02/28/2019		A	21,651	⁽⁴⁾ 02/28/2029	Common Stock	21,651

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hunt Anthony C/O REPLIGEN CORPORATION 41 SEYON ST., BLDG 1, STE 100 WALTHAM, MA 02453	X		Chief Executive Officer	

Signatures

/s/ Jeffrey P. Leduc (Attorney in Fact) 03/04/2019

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Hunt was awarded 22,681 restricted stock units. Each restricted stock unit represents a contingent right to receive one share of Repligen Corporation's Common Stock. The restricted stock units vest in equal annual installments over a three-year period beginning on the first anniversary of the grant date. The restricted stock units may be settled only by delivering shares of Repligen Corporation's Common Stock, and thus, the grant is being reported in Table 1 as allowed per SEC guidance.
- (2) Sale of 7,475 shares in the open market to cover tax liability resulting from the release of restricted stock units.
- \$59.01 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$58.75 to \$59.33, inclusive.
- (3) The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- (4) One third of the stock options will vest and become exercisable annually on February 28, 2020, February 28, 2021 and February 28, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. US face=arial,sans-serif>1,231

	12,062
2.01.05.02	
Other	
	857,280
	881,876
2.01.05.02.01	
Dividends and Interest on Equity Payable	
	142
	247,486
2.01.05.02.04	
Accounts Payable	
	378,682
	383,116
2.01.05.02.05	
Refundable Amounts	
	44,801
	50,300
2.01.05.02.06	
Program Contract Commitments	
	106,156
	62,287
2.01.05.02.07	
Private Public Partnership	
	24,357
	12,693
2.01.05.02.08	
Explanation of Responses:	3

Agreement with São Paulo Municipality Government

	49,272
	62,228
2.01.05.02.09	
Indemnities	
	9,465
	5,310
2.01.05.02.10	
Agreements	
	173,000
	0
2.01.05.02.20	
Other Payables	
	71,405
	58,456
2.01.06	
Provisions	
	644,538
	764,070
2.01.06.01	
Tax, Social Security, Labor and Civil Provisions	
	185,589
	117,556
2.01.06.01.01	
Tax Provisions	
	5,916
Explanation of Responses:	4

	5,859
2.01.06.01.02	
Social Security and Labor Provisions	138,340
	86,821
2.01.06.01.04	
Civil Provisions	41,333
	24,876
2.01.06.02	
Other Provisions	458,949
	646,514
2.01.06.02.03	
Provisions for Environmental	14,761
	12,014
2.01.06.02.04	
Provisions for Customers	343,020
	244,817
2.01.06.02.05	
Provisions for Suppliers	101,168
	389,683
2.02	
Explanation of Responses:	5

Noncurrent Liabilities

10,737,774

10,516,514

2.02.01

Loans and Financing

7,084,777

6,794,148

2.02.01.01

Loans and Financing

4,716,091

4,725,684

2.02.01.01.01

In Domestic Currency

1,676,552

1,861,640

(CONVENIENCE TRANSLATION INTO ENGLISH FROM THE ORIGINAL PREVIOUSLY ISSUED IN PORTUGUESE)

ITR – Quarterly Financial Information – September 30, 2012 - CIA SANEAMENTO BÁSICO ESTADO SÃO PAULO

Parent Company Financial Statements / Statement of Financial Position – Liabilities

(R\$ thousand)

Code	Description	Current Quarter	Previous Year
		09/30/2012	12/31/2011
2.02.01.01.02	In Foreign Currency	3,039,539	2,864,044
2.02.01.02	Debentures	2,368,686	2,068,464
2.02.02	Other Payables	2,941,283	2,914,607
2.02.02.02	Other	2,941,283	2,914,607
	Other Taxes and Contributions		
2.02.02.02.03	Payable	0	18,363
2.02.02.02.04	Pension Plan Liabilities	2,113,479	2,050,697
2.02.02.02.05	Program Contract Liabilities	151,575	130,978
2.02.02.02.06	Private Public Partnership – PPP	401,934	416,105
2.02.02.02.07	Indemnities	29,644	43,707
2.02.02.02.08	TAC – Retirees	17,047	30,171
2.02.02.02.09	Deferred COFINS and PASEP	116,048	114,106
2.02.02.02.20	Other Payables	111,556	110,480
2.02.04	Provisions	711,714	807,759
	Tax, Social Security, Labor and		
2.02.04.01	Civil Provisions	292,595	293,794
2.02.04.01.01	Tax Provisions	71,756	70,589
	Social Security and Labor		
2.02.04.01.02	Provisions	97,128	69,715
2.02.04.01.04	Civil Provisions	123,711	153,490
2.02.04.02	Other Provisions	419,119	513,965
2.02.04.02.03	Provisions for Environmental	131,423	109,165
2.02.04.02.04	Provisions for Customers	258,200	373,716
2.02.04.02.05	Provisions for Suppliers	29,496	31,084
2.03	Equity	11,404,241	10,545,896
2.03.01	Paid-in Capital	6,203,688	6,203,688
2.03.02	Capital Reserves	124,255	124,255
2.03.02.07	Support to Projects	108,475	108,475
2.03.02.08	Incentive Reserves	15,780	15,780
2.03.04	Profit Reserves	3,929,810	4,217,953
2.03.04.01	Legal Reserve	521,219	521,219
2.03.04.08	Additional Dividend Proposed	0	288,143

Explanation of Responses:

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2.03.04.10	Reserve for Investments	3,408,591	3,408,591
	Retained Earnings/Accumulated		
2.03.05	Losses	1,146,488	0

(CONVENIENCE TRANSLATION INTO ENGLISH FROM THE ORIGINAL PREVIOUSLY ISSUED IN PORTUGUESE)

ITR – Quarterly Financial Information – September 30, 2012 - CIA SANEAMENTO BÁSICO ESTADO SÃO PAULO

Parent Company Financial Statements / Statement of Income

(R\$ thousand)

Code	Description	Current Quarter	YTD Current	Same Quarter	YTD Previous
		07/01/2012 to 09/30/2012	Year 01/01/2012 to 09/30/2012	Previous Year 07/01/2011 to 09/30/2011	Year 01/01/2011 to 09/30/2011
3.01	Revenue from Sales and/or Services	2,710,985	7,763,716	2,591,262	7,225,668
3.02	Cost of Sales and/or Services	-1,592,348	-4,656,557	-1,634,513	-4,440,004
3.02.01	Cost of Sales and/or Services	-993,171	-2,952,507	-978,484	-2,858,247
3.02.02	Construction Cost	-599,177	-1,704,050	-656,029	-1,581,757
3.03	Gross Profit	1,118,637	3,107,159	956,749	2,785,664
3.04	Operating Income/Expenses	-456,398	-1,094,916	-323,374	-1,075,481
3.04.01	Selling Expenses	-188,892	-528,182	-116,219	-449,757
3.04.02	General and Administrative Expenses	-207,387	-530,417	-192,317	-660,018
3.04.04	Other Operating Income	14,528	48,009	-2,131	58,058
3.04.04.01	Other Operating Income	16,114	53,341	-2,434	63,889
3.04.04.02	COFINS and PASEP	-1,586	-5,332	303	-5,831
3.04.05	Other Operating Expenses	-74,061	-80,683	-10,540	-19,346
3.04.05.01	Loss on Write-off of Property, Plant and Equipment	-73	-1,881	-8,265	-12,644
3.04.05.03	Tax Incentives	-3,730	-8,417	-1,915	-6,225
3.04.05.04	Provision for Asset Impairment	-35,127	-35,127	0	0
3.04.05.05	Provision for Physical Count Losses	-35,087	-35,087	0	0
3.04.05.20	Other	-44	-171	-360	-477
3.04.06	Equity in the Earnings of Joint Ventures	-586	-3,643	-2,167	-4,418
3.05	Income Before Financial Result and Taxes	662,239	2,012,243	633,375	1,710,183

Explanation of Responses:

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3.06	Financial Result	-109,293	-395,657	-510,698	-515,782
3.06.01	Financial Income	68,232	226,644	113,894	324,466
3.06.01.01	Financial Income	68,282	226,749	113,508	339,030
	Foreign Exchange	-50	-105	386	-14,564
3.06.01.02	Gains				
3.06.02	Financial Expenses	-177,525	-622,301	-624,592	-840,248
3.06.02.01	Financial Expenses	-154,450	-476,759	-158,207	-517,203
	Foreign Exchange	-23,075	-145,542	-466,385	-323,045
3.06.02.02	Losses				
	Earnings Before	552,946	1,616,586	122,677	1,194,401
3.07	Income Taxes				
	Income Tax and	-191,182	-470,098	-54,713	-463,996
3.08	Social Contribution				

(CONVENIENCE TRANSLATION INTO ENGLISH FROM THE ORIGINAL PREVIOUSLY ISSUED IN PORTUGUESE)

ITR – Quarterly Financial Information – September 30, 2012 - CIA SANEAMENTO BÁSICO ESTADO SÃO PAULO

Parent Company Financial Statements / Statement of Income

(R\$ thousand)

Code	Description	Current Quarter	YTD Current	Same Quarter	YTD Previous
		07/01/2012 to 09/30/2012	Year 01/01/2012 to 09/30/2012	Previous Year 07/01/2011 to 09/30/2011	Year 01/01/2011 to 09/30/2011
3.08.01	Current	-240,126	-479,581	-112,974	-519,992
3.08.02	Deferred	48,944	9,483	58,261	55,996
	Net Income from Continued Operations	361,764	1,146,488	67,964	730,405
3.09	Net Income/Loss for the Period	361,764	1,146,488	67,964	730,405
3.11	Earnings per Share - (Reais / Share)				
3.99	Basic Earnings per Share				
3.99.01	Common Shares	1.58783	5.03208	0.29830	3.20584
3.99.01.01	Diluted Earnings per Share				
3.99.02	Common Shares	1.58783	5.03208	0.29830	3.20584
3.99.02.01					

(CONVENIENCE TRANSLATION INTO ENGLISH FROM THE ORIGINAL PREVIOUSLY ISSUED IN PORTUGUESE)

ITR – Quarterly Financial Information – September 30, 2012 - CIA SANEAMENTO BÁSICO ESTADO SÃO PAULO

Parent Company Financial Statements / Statement of Comprehensive Income

Justification for not filing out the chart:

The Company does not record statement of comprehensive income.

(CONVENIENCE TRANSLATION INTO ENGLISH FROM THE ORIGINAL PREVIOUSLY ISSUED IN PORTUGUESE)

ITR – Quarterly Financial Information – September 30, 2012 - CIA SANEAMENTO BÁSICO ESTADO SÃO PAULO

Parent Company Financial Statements / Statement of Cash Flows – Indirect Method

(R\$ thousand)

Code	Description	YTD Current Year	YTD Previous Year
		01/01/2012 to 09/30/2012	01/01/2011 to 09/30/2011
6.01	Net Cash from Operating Activities	1,847,791	1,897,590
6.01.01	Cash from Operations	3,378,306	3,186,309
	Net Income Before Income Tax and	1,616,586	1,194,401
6.01.01.01	Social Contribution		
6.01.01.02	Provision for Contingencies	231,150	220,254
	Income in Write-off of Intangible and	2,129	21,162
6.01.01.05	Fixed Assets		
6.01.01.06	Depreciation and Amortization	543,702	572,514
	Interest on Loans and Financing	306,742	342,528
6.01.01.07	Payable		
6.01.01.08	Monetary and Foreign Exchange	169,399	367,326
	Variation on Loans and Financing		
6.01.01.09	Interest and Foreign Exchange Losses	1,147	2,197
6.01.01.10	Interest and Foreign Exchange Gains	-9,919	-19,404
	Allowance for Doubtful Accounts and		
6.01.01.11	Securities Write-off	304,011	240,883
6.01.01.12	Provision for Consent Decree (TAC)	24,482	40,561
6.01.01.13	Equity in the Earnings of Joint Ventures	3,643	4,418
6.01.01.14	Provision for Sabesprev Mais	-6,522	-7,432
6.01.01.15	Other Provisions/Reversals	1,186	4,900
	Transfer of Funds to São Paulo	-4,080	0
6.01.01.16	Municipal Government		
6.01.01.17	Gross Margin over Intangible Assets	-36,919	-39,284
	Resulting from Concession Contracts		
6.01.01.18	Pension Plan Liabilities	161,355	241,285
6.01.01.19	Provision for Physical Count Losses	35,087	0
6.01.01.20	Provision for Asset Impairment	35,127	0
6.01.02	Assets and Liabilities Variations	-771,753	-265,960
6.01.02.01	Accounts Receivable	-195,751	-219,880
	Balances and Transactions with	50,582	36,585
6.01.02.02	Related Parties		

Explanation of Responses:

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6.01.02.03	Inventories	4,791	228
6.01.02.04	Recoverable Taxes	-27,229	-42,159
6.01.02.05	Other Accounts Receivable	-95,741	-21,186
6.01.02.06	Judicial Deposits	-150,326	3,624
6.01.02.08	Contractors and Suppliers	-28,618	73,762
	Payroll, Provisions and Social	48,832	22,557
6.01.02.09	Contribution		
6.01.02.10	Pension Plan Liabilities	-98,573	-8,432
6.01.02.11	Taxes and Contributions Payable	-76,930	-56,672
6.01.02.12	Services Received	-4,434	-37,695
6.01.02.13	Other Liabilities	85,326	131,608
6.01.02.14	Provisions	-285,624	-148,317
6.01.02.15	Taxes on Revenues	1,942	17
6.01.03	Other	-758,762	-1,022,759
6.01.03.01	Interest Paid	-471,168	-583,666
	Income Tax and Social Contribution	-287,594	-439,093
6.01.03.02	Paid		
6.02	Net Cash from Investing Activities	-1,330,861	-1,154,196
6.02.01	Acquisition of Fixed Assets	-12,305	-8,174
6.02.02	Increase in Intangible Assets	-1,342,180	-1,337,179
6.02.03	Increase in Investment	-5,092	-14,050
6.02.04	Restricted Cash	28,716	205,207
6.03	Net Cash from Financing Activities	-892,696	-503,943

(CONVENIENCE TRANSLATION INTO ENGLISH FROM THE ORIGINAL PREVIOUSLY ISSUED IN PORTUGUESE)

ITR – Quarterly Financial Information – September 30, 2012 - CIA SANEAMENTO BÁSICO ESTADO SÃO PAULO

Parent Company Financial Statements / Statement of Cash Flows – Indirect Method

(R\$ thousand)

Code	Description	YTD Current Year	YTD Previous Year
		01/01/2012 to 09/30/2012	01/01/2011 to 09/30/2011
6.03.01	Funding - Loans	995,442	1,456,501
6.03.02	Amortization of Loans	-1,350,366	-1,537,521
6.03.03	Payment of Interest on Equity	-537,772	-422,923
6.05	Increase (Decrease) in Cash and Cash Equivalents	-375,766	239,451
6.05.01	Opening Cash and Cash Equivalents	2,142,079	1,988,004
6.05.02	Closing Cash and Cash Equivalents	1,766,313	2,227,455

(CONVENIENCE TRANSLATION INTO ENGLISH FROM THE ORIGINAL PREVIOUSLY ISSUED IN PORTUGUESE)

ITR – Quarterly Financial Information – September 30, 2012 - CIA SANEAMENTO BÁSICO ESTADO SÃO PAULO

Parent Company Financial Statements / Statement of Changes in Equity – 01/01/2012 to 09/30/2012

(R\$ thousand)

Code	Description	Capital Paid	Capital Reserves, Options Granted and Treasury Shares	Profit Reserves Earnings/Accumulated	Retained Losses	Other Comprehensive Income	Equity
5.01	Opening Balances	6,203,688	124,255	4,217,953	0		010,545,896
5.03	Adjusted Opening Balances	6,203,688	124,255	4,217,953	0		010,545,896
5.04	Capital Transactions with Partners	0	0	-288,143	0	0	-288,143
5.04.08	Approved Additional Dividends	0	0	-288,143	0	0	-288,143
5.05	Total Comprehensive Income	0	0	0	1,146,488	0	1,146,488
5.05.01	Net income for the Period	0	0	0	1,146,488	0	1,146,488
5.07	Closing Balances	6,203,688	124,255	3,929,810	1,146,488		011,404,241

(CONVENIENCE TRANSLATION INTO ENGLISH FROM THE ORIGINAL PREVIOUSLY ISSUED IN PORTUGUESE)

ITR – Quarterly Financial Information – September 30, 2012 - CIA SANEAMENTO BÁSICO ESTADO SÃO PAULO

Parent Company Financial Statements / Statement of Changes in Equity – 01/01/2011 to 09/30/2011

(R\$ thousand)

Code	Description	Capital Paid	Capital Reserves, Options Granted and Treasury Shares	Profit Reserves Earnings/Accumulated	Retained Losses	Other Comprehensive Income	Equity
5.01	Opening Balances	6,203,688	124,255	3,353,857	0	0	9,681,800
5.03	Adjusted Opening Balances	6,203,688	124,255	3,353,857	0	0	9,681,800
5.04	Capital Transactions with Partners	0	0	-68,761	0	0	-68,761
5.04.08	Approved Additional Dividends	0	0	-68,761	0	0	-68,761
5.05	Total Comprehensive Income	0	0	0	730,405	0	730,405
5.05.01	Net income for the Period	0	0	0	730,405	0	730,405
5.07	Closing Balances	6,203,688	124,255	3,285,096	730,405	0	10,343,444

(CONVENIENCE TRANSLATION INTO ENGLISH FROM THE ORIGINAL PREVIOUSLY ISSUED IN PORTUGUESE)

ITR – Quarterly Financial Information – September 30, 2012 - CIA SANEAMENTO BÁSICO ESTADO SÃO PAULO

Parent Company Financial Statements / Statement of Value Added

(R\$ thousand)

Code	Description	YTD Current Year	YTD Previous Year
		01/01/2012 to 09/30/2012	01/01/2011 to 09/30/2011
7.01	Revenue	8,149,228	7,634,919
	Sales of Merchandise, Products and	6,500,917	6,043,317
7.01.01	Services		
7.01.02	Other Revenue	53,341	63,889
	Revenue from the Construction of Own	1,740,969	1,621,042
7.01.03	Assets		
	Allowance for/Reversal of Doubtful	-145,999	-93,329
7.01.04	Accounts		
7.02	Inputs Acquired from Third Parties	-3,398,090	-3,106,286
	Costs of Products, Goods and Services	-2,818,116	-2,626,876
7.02.01	Sold		
	Materials, Energy, Outsourced	-499,291	-460,064
7.02.02	Services and Other		
7.02.03	Loss/Recovery of Asset Values	-35,127	0
7.02.04	Other	-45,556	-19,346
7.02.04.01	Provision for Inventory Losses	-35,087	0
7.02.04.02	Other	-10,469	-19,346
7.03	Gross Value Added	4,751,138	4,528,633
7.04	Retentions	-543,702	-572,514
	Depreciation, Amortization and	-543,702	-572,514
7.04.01	Depletion		
7.05	Net Value Added Produced	4,207,436	3,956,119
7.06	Value Added Received in Transfer	223,001	320,048
7.06.01	Equity in the Earnings of Joint Ventures	-3,643	-4,418
7.06.02	Financial Income	226,644	324,466
7.07	Total Value Added to Distribute	4,430,437	4,276,167
7.08	Value Added Distribution	4,430,437	4,276,167
7.08.01	Personnel	1,148,828	1,277,402
7.08.01.01	Direct Compensation	774,184	741,888
7.08.01.02	Benefits	302,641	453,324
7.08.01.03		72,003	82,190

Explanation of Responses:

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	Government Severance Indemnity		
	Fund for Employees (FGTS)		
7.08.02	Taxes and Contributions	1,249,710	1,178,364
7.08.02.01	Federal	1,184,199	1,125,646
7.08.02.02	State	38,764	30,702
7.08.02.03	Municipal	26,747	22,016
7.08.03	Third Parties	885,411	1,089,996
7.08.03.01	Interest	839,409	1,062,725
7.08.03.02	Rental	46,002	27,271
7.08.04	Retained Earnings	1,146,488	730,405
	Retained Earnings/Accumulated Loss	1,146,488	730,405
7.08.04.03	for the Period		

(CONVENIENCE TRANSLATION INTO ENGLISH FROM THE ORIGINAL PREVIOUSLY ISSUED IN PORTUGUESE)

ITR – Quarterly Financial Information – September 30, 2012 - CIA SANEAMENTO BÁSICO ESTADO SÃO PAULO

Consolidated Financial Statements / Statement of Financial Position - Assets

(R\$ thousand)

Code	Description	Current Quarter	Previous Year
		09/30/2012	12/31/2011
1	Total Assets	25,953,815	25,214,984
1.01	Current Assets	3,169,152	3,725,833
1.01.01	Cash and Cash Equivalents	1,777,706	2,149,989
1.01.03	Accounts Receivable	1,140,621	1,257,992
1.01.03.01	Customers	1,006,496	1,072,659
1.01.03.02	Other Accounts Receivable	134,125	185,333
1.01.03.02.01		134,125	185,333
	Balances with Related Parties		
1.01.04	Inventories	39,754	44,611
1.01.06	Recoverable Taxes	53,683	118,116
1.01.06.01	Current Recoverable Taxes	53,683	118,116
1.01.08	Other Current Assets	157,388	155,125
1.01.08.03	Other	157,388	155,125
1.01.08.03.01		71,013	99,729
	Restricted Cash		
1.01.08.03.20		86,375	55,396
	Other Accounts Receivable		
1.02	Noncurrent Assets	22,784,663	21,489,151
1.02.01	Long-Term Assets	942,988	938,421
1.02.01.03	Accounts Receivable	321,680	333,713
1.02.01.03.01		321,680	333,713
	Customers		
1.02.01.06	Deferred Taxes	191,537	179,463
1.02.01.06.01	Deferred Income Tax and Social Contribution	191,537	179,463
1.02.01.08	Credit with Related Parties	146,373	170,288
1.02.01.08.03		146,373	170,288
	Credit with Controlling Shareholders		
1.02.01.09	Other Noncurrent Assets	283,398	254,957
1.02.01.09.03		60,295	60,295
	Indemnifications Receivable		
	Judicial Deposits	48,254	54,178

Explanation of Responses:

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1.02.01.09.04		
1.02.01.09.05	106,478	100,551
ANA – National Water Agency		
1.02.01.09.20	68,371	39,933
Other Accounts Receivable		
1.02.02	54,046	52,585
Investments		
1.02.02.02	54,046	52,585
Investment Properties		
1.02.03	401,337	356,468
Property, Plant and Equipment		
1.02.04	21,386,292	20,141,677
Intangible Assets		
1.02.04.01	21,386,292	20,141,677
Intangible Assets		
1.02.04.01.01	7,705,289	7,752,756
Concession Contracts		
1.02.04.01.02	4,252,345	3,179,545
Program Contracts		
1.02.04.01.03	9,485,186	9,190,573
Service Contracts		
1.02.04.01.04	308	2,326
Software License		
1.02.04.01.05	13,378	16,477
New Business		
1.02.04.01.06	-35,127	0
Provision for Asset Impairment		
1.02.04.01.07	-35,087	0
Provision for Physical Count Losses		

(CONVENIENCE TRANSLATION INTO ENGLISH FROM THE ORIGINAL PREVIOUSLY ISSUED IN PORTUGUESE)

ITR – Quarterly Financial Information – September 30, 2012 - CIA SANEAMENTO BÁSICO ESTADO SÃO PAULO

Consolidated Financial Statements / Statement of Financial Position - Liabilities

(R\$ thousand)

Code	Description	Current Quarter	Previous Year
		09/30/2012	12/31/2011
2	Total Liabilities	25,953,815	25,214,984
2.01	Current Liabilities	3,626,811	3,968,668
2.01.01	Labor and Pension Plan Liabilities	317,467	243,876
2.01.01.01	Pension Plan Liabilities	23,965	31,927
2.01.01.02	Labor Liabilities	293,502	211,949
2.01.02	Suppliers	257,128	255,557
2.01.02.01	Domestic Suppliers	257,128	255,557
2.01.03	Tax Liabilities	221,436	181,122
2.01.03.01	Federal Tax Liabilities	216,244	175,378
2.01.03.01.01	Income Tax and Social Contribution Payable	97,981	
2.01.03.01.02	PIS-PASEP and COFINS (taxes on revenue) Payable	51,480	57,073
2.01.03.01.03	INSS (social security contribution) Payable	26,083	25,645
2.01.03.01.04	Installment Program - Law 10684/03	28,279	36,716
2.01.03.01.20	Other Federal Taxes	12,421	55,944
2.01.03.02	State Tax Liabilities	0	4
2.01.03.03	Municipal Tax Liabilities	5,192	5,740
2.01.04	Loans and Financing	1,316,504	1,630,010
2.01.04.01	Loans and Financing	823,925	826,091
2.01.04.01.01	In Domestic Currency	605,047	636,714
2.01.04.01.02	In Foreign Currency	218,878	189,377
2.01.04.02	Debentures	492,579	803,919
2.01.05	Other Liabilities	869,738	894,033
2.01.05.01	Liabilities with Related Parties	1,231	12,062
2.01.05.01.03	Debts with Controlling Shareholders	1,231	12,062
2.01.05.02	Other	868,507	881,971
2.01.05.02.01	Dividends and Interest on Equity Payable	142	247,486
2.01.05.02.04	Services	378,682	383,116

Explanation of Responses:

2.01.05.02.05