Edgar Filing: NEW YORK MORTGAGE TRUST INC - Form 4

NEW YORK Form 4 May 24, 2016		E TRUST I	NC									
FORM	1									OMB APPROVAL		
	Washington, D.C. 20549							OMB Number:	3235-0287			
Check this if no long subject to Section 16 Form 4 or Form 5 obligation	er STAT 5. Filed j	SECUR	ES IN BENEFICIAL OWNERSHIP OF ECURITIES a) of the Securities Exchange Act of 1934, ty Holding Company Act of 1935 or Section					Expires: January 31 200 Estimated average burden hours per response 0.				
may conti <i>See</i> Instru- 1(b).	nue. Section		of the Inv	•	•	· ·			n			
(Print or Type R	esponses)											
:			2. Issuer Name and Ticker or Trading Symbol NEW YORK MORTGAGE TRUST INC [NYMT]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) C/O NEW YORK MORTGAGE TRUST, INC, 275 MADISON			3. Date of Earliest Transaction (Month/Day/Year) 05/23/2016					Director X Officer (giv below)		6 Owner er (specify		
AVENUE	(Street)	ndment, Date Original hth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person						
NEW YORK	K, NY 10016								More than One Re			
(City)	(State)	(Zip)	Table	I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A)				Securities Elementically Owned Elementically Reported Elements	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common				Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)				
Stock, par value \$0.01 per share	05/23/2016			Р	1,600	А	\$ 5.6	257,600	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
r e e e e e e e e e	Director	10% Owner	Officer	Other				
Donlon Kevin M. C/O NEW YORK MORTGAGE TRUST, INC 275 MADISON AVENUE NEW YORK, NY 10016			President					
Signatures								
/s/ Kristine R. Nario, as attorney-in-fact)5/24/2016							
**Signature of Reporting Person	Date							

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.