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CATHAY GENERAL BANCORP

Form 4 May 12, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Stock

Stock

Common

(Print or Type Responses)

1. Name and Address of Reporting Person * TANG ANTHONY M			2. Issuer Name and Ticker or Trading Symbol CATHAY GENERAL BANCORP [CATY]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) (First) (Middle) 777 NORTH BROADWAY		,	3. Date of Earliest Transaction (Month/Day/Year) 05/08/2014	_X_ Director 10% Owner _X_ Officer (give title Other (specify below) Executive Vice Chairman		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
LOS ANGELES, CA 90012				Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tabl	le I - Non-D	Derivative	Secui	rities Acq	uired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/08/2014		M(1)	4,819	A	\$ 0 (2)	375,867	D	
Common Stock	05/08/2014		F(3)	1,914	D	\$ 23.43	373,953	D	
Common							1,723,706.08	I	By spouse

(4)

89,305

Ι

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

By ESOP

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Securities	f Derivative Expiration Date Underlying ecurities (Month/Day/Year) (Instr. 3 a Acquired A) or Disposed of D) Instr. 3, 4,		7. Title and A Underlying S (Instr. 3 and	Securities	8. Pr Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	d \$ 0 (2)	05/08/2014		M	4,819	<u>(5)</u>	<u>(5)</u>	Common Stock	4,819	\$

Reporting Owners

Reporting Owner Name / Address			Relationships	
	ъ.	10~ 0	C 001	

Director 10% Owner Officer Other

TANG ANTHONY M 777 NORTH BROADWAY LOS ANGELES, CA 90012

X Executive Vice Chairman

Signatures

Monica Chen, attorney-in-fact 05/12/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion into Common Stock upon vesting of the restricted stock units reported on Table II.
- (2) Each restricted stock unit represented a contingent right to receive one share of Common Stock of the Issuer.
- (3) Shares withheld by Registrant to satisfy minimum statutory withholding requirements on vesting of restriced stock units.
- (4) Includes 553.75 shares acquired through dividend reinvestment year-to-date.
- (5) The reporting person previously reported the award of 4,819 shares of restricted stock units on Form 4 filed May 10, 2012. All of these restricted stock units vested on May 8, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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