#### OPPENHEIMER HOLDINGS INC

Form 4/A January 10, 2007

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* WINBERG BURTON

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

OPPENHEIMER HOLDINGS INC

(Check all applicable)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

[OPY]

(Last) (First) 3. Date of Earliest Transaction

X Director Officer (give title below)

10% Owner Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

170 THE DONWAY W., SUITE 307

(Street)

(State)

(Middle)

(Zip)

01/03/2007

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

01/05/2007

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

TORONTO ONTARIO, A6 M3C

2G3

(City)

**CLASS A** 

**SHARES** 

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security 2. Transaction Date 2A. Deemed (Instr. 3) (Month/Day/Year)

NON-VOTING 01/03/2007

Execution Date, if

(Month/Day/Year)

4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

7. Nature Ownership of Indirect Form: Direct (D) or Indirect

Beneficial Ownership (Instr. 4)

(A) or

(Instr. 8)

Reported (I) Transaction(s) (Instr. 4)

(Instr. 3 and 4)

Code V Amount (D) Price

\$

M 25,000 A 25.95 8,800

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(1)

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: OPPENHEIMER HOLDINGS INC - Form 4/A

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercise Expiration Date (Month/Day/Y	e	7. Title and Amount o Underlying Securities (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
OPTIONS ON CLASS A SHARES	\$ 25.95 (1)	01/03/2007		X	25,000	08/26/2006	02/25/2007	CLASS A NON-VOTING SHARES

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
WINBERG BURTON						
170 THE DONWAY W.	Y					

170 THE DONWAY W.
SUITE 307
TORONTO ONTARIO, A6 M3C 2G3

# **Signatures**

B. Winberg 01/10/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) PRICE WAS INCORRECTLY TYPED IN AS \$25.75 IN FORM 4 FILED ON 1/5/07.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2