## Edgar Filing: CROWN HOLDINGS INC - Form 4

February 15, 2017 OMB APPROVAL   FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION   Check this box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF   Subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF   Section 16. SECURITIES   Form 5 State of the Public Utility Holding Company Act of 1935 or Section   rbild pursuant to Section 16(a) of the Securities Exchange Act of 1934,   obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section   the. Filed pursuant to Section 16(a) of the Investment Company Act of 1935 or Section   the. Symbol   CROWN HOLDINGS INC [CCK] (hiddle)   (Last) (First)   (Last) (First)   (Month/Day/Year) O2/13/2017   ONE CROWN 3. Date of Earliest Transaction   (Kiree) 4. If Amendment, Date Original Filed(Month/Day/Year)   (Ciry) (State)   (Ciry) (State)   (Ciry) (State)   (Ciry) (State)		OLDINGS INC											
FORM 4       UNITED STATES SECURITES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB APPROVAL Mumber: 2025-026 Security         Check this box if no logs subject to Section 16. Form 4 or Form 5 obligations       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       CMB APPROVAL Mumber: 2025-026 Security         Form 4 or Form 5 obligations       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940         (Print or Type Responses)       1. Name and Address of Reporting Person 1 (Last)       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       Check all applicable)         (Last)       (First)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line) Verson         (City)       (State)       (Zip)       Table 1 - Non-Derivative Securities Acquired, Disposed of (D) On (Month/Day/Year)       5. Amount of Nomership Indirect Person       6. Nonership Indirect Porm: Direct Beneficially Ownership Indirect (Month/Day/Year)       5. Amount of Nomership Indirect Pollowing	Form 4	2017											
OMB S235-025         Washington, D.C. 20549         Number: January 3         if no longer         STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF         Section 16.         SECURITIES         Form 4 or         Form 5         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations         Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940         1(b).         (Print or Type Responses)         1. Name and Address of Reporting Person _         L. Issuer Name and Ticker or Trading Symbol         Section 17(a) (Middle)         Symbol         CROWN HOLDINGS INC [CCK]         (Check all applicable)         (Check all applicable)         (Check II applicable)         (Check II applicable)         (Street)         (Street)         (Check II applicable)         (Street)         (Street)         (Street)         (Check      <										OMB AF	PROVAL		
if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Expires:       200 200 200 200 200 200 200 200 200 200		UNITED	STATES S					NGE C	OMMISSION	-	3235-0287		
Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section         may continue.       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section         (Print or Type Responses)       30(h) of the Investment Company Act of 1940         1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading       5. Relationship of Reporting Person(s) to         RALPH THOMAS A       Symbol       Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction       (Check all applicable)         (Last)       (First)       (Middle)       3. Date of Earliest Transaction       Ohref (give title0) Ohref (specify below)         (Street)       4. If Amendment, Date Original       6. Individual or Joint/Group Filing(Check Applicable Line)         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1.Title of       2. Transaction Date       3. 4. Securities Acquired (D) Security       Security         (Month/Day/Year)       (Instr. 3)       Securities Acquired (D) Security       Ownership Indirect         (Instr. 3)       (Month/Day/Year)       (Instr. 3, 4 and 5)       Ownerd (D) or       O	Check this box if no longer subject to STATEMENT OF CHAN						ICIA	L OWN	ERSHIP OF	Expires: 20 Estimated average			
1. Name and Address of Reporting Person _ RALPH THOMAS A       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction       (Check all applicable)         (Last)       (First)       (Middle)       3. Date of Earliest Transaction       (Check all applicable)         ONE CROWN WAY       02/13/2017       — Director — Officer (give title below)       — 10% Owner — Other (specify below)         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line) — X_ Form filed by One Reporting Person — Form filed by One Reporting Person — Form filed by More than One Reporting Person         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired Security       5. Amount of Securities       6.       7. Nature of Indirect         1. Title of Security       2. Transaction Date (Month/Day/Year)       3.       4. Securities Acquired Transactior(A) or Disposed of (D) any       5. Amount of Code       6.       7. Nature of Indirect         (Instr. 3)       (Month/Day/Year)       (Instr. 8)       (Instr. 4)       7. Nature of Indirect	Form 5 obligatio may cont See Instru	Filed put ns Section 170	(a) of the Pu	ublic Ut	ility Hold	ling Cor	npan	y Act of	1935 or Section	response	0.5		
RALPH THOMAS A     Symbol     Issuer       (Last)     (First)     (Middle)     3. Date of Earliest Transaction     (Check all applicable)       (Last)     (First)     (Middle)     3. Date of Earliest Transaction     (Check all applicable)       ONE CROWN WAY     02/13/2017     — Director     — 10% Owner       (Street)     4. If Amendment, Date Original     6. Individual or Joint/Group Filing(Check       Filed(Month/Day/Year)     — Form filed by One Reporting Person       PHILADELPHIA, PA 19154     — Form filed by More than One Reporting Person       (City)     (State)     (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned       1.Title of     2. Transaction Date     2A. Deemed       any     (Month/Day/Year)     Code       (Instr. 3)     (Month/Day/Year)     Code       (Month/Day/Year)     (Instr. 8)     Owneed (D) or Ownership       (A)     Or     (Instr. 4)       (A)     Or     (Instr. 4)	(Print or Type I	Responses)											
(Last)       (First)       (Middle)       3. Date of Earliest Transaction         (Last)       (First)       (Middle)       3. Date of Earliest Transaction         (Month/Day/Year)				er Name <b>and</b> Ticker or Trading									
ONE CROWN WAY     (Month/Day/Year) (2/13/2017			C	CROWI	N HOLDI	NGS IN	IC [C	CK]	(Check all applicable)				
Filed(Month/Day/Year) PHILADELPHIA, PA 19154 (City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired, Disposed of, or Beneficially Owned Security (Month/Day/Year) Execution Date, if Transactior(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) (Month/Day/Year) (Instr. 8) (A) or (Instr. 3 and 4)	``´		(1	Month/D	ay/Year)	ansaction			Officer (give t	itle Othe			
(City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1.Title of Security       2. Transaction Date       2A. Deemed       3.       4. Securities Acquired       5. Amount of       6.       7. Nature or         (Instr. 3)       (Month/Day/Year)       Execution Date, if any       Transaction(A) or Disposed of (D)       Securities       Ownership       Indirect         (Month/Day/Year)       (Month/Day/Year)       (Instr. 3, 4 and 5)       Beneficially       Form: Direct       Beneficially         (Month/Day/Year)       (Instr. 8)       Owned       (D) or       Ownership         (A)       or       (Instr. 4)       Transaction(s)       Transaction(s)	· / / ·····					-	1		Applicable Line)				
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3.4. Securities Acquired Transaction(A) or Disposed of (D) Securities5. Amount of Securities6.7. Nature of Indirect(Instr. 3)00 <td>PHILADEL</td> <td>PHIA, PA 19154</td> <td>4</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>ore than One Rej</td> <td>porting</td>	PHILADEL	PHIA, PA 19154	4							ore than One Rej	porting		
Security (Instr. 3)(Month/Day/Year)Execution Date, if anyTransactior(A) or Disposed of (D) CodeSecuritiesOwnershipIndirect(Instr. 3)anyCode(Instr. 3, 4 and 5)BeneficiallyForm: DirectBeneficial(Month/Day/Year)(Instr. 8)Owned(D) orOwnership(Month/Day/Year)(Instr. 8)FollowingIndirect (I)(Instr. 4)(A)or(Instr. 3 and 4)(Instr. 3 and 4)Instr. 4)	(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acqu	iired, Disposed of,	or Beneficiall	y Owned		
Code V Amount (D) Price	Security		Execution D any	Date, if	Transactio Code (Instr. 8)	n(A) or Di (Instr. 3,	sposed 4 and (A) or	d of (D) 5)	Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D) or Indirect (I)	Beneficial Ownership		
Common 02/13/2017 A 567 A $\frac{$}{52.988}$ 75,321 D	Common	02/13/2017						\$	75,321	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transact	5. orNumb	ber	6. Date Exerce Expiration D		7. Tit Amou		8. Price of Derivative	9. Nu Deriv
Security	or Exercise	(	any	Code	of		(Month/Day/			rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Deriva	ative	e		Secur	rities	(Instr. 5)	Bene
	Derivative				Securi	ities			(Instr	. 3 and 4)		Owne
	Security				Acquir							Follo
					(A) or $\mathbf{D}$							Repo
					Dispos of (D)							Trans
					(Instr.							(Instr
					4, and							
				Code V	(A) (		Date	Expiration	Title	Amount		
				Code V	(A) (	(D)	Exercisable	Date	Title	or		
							Encreisuble	Duit		Number		
										of		
										Shares		

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
RALPH THOMAS A ONE CROWN WAY PHILADELPHIA, PA 19154								
Signatures								
Rosemary M. Haselroth, by Po Attorney	02/15/2017							
**Signature of Reporting Perso	n		Date					

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.