General Moly, Inc Form 3 May 15, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

A Corrion Amanda

(Last) (First) (Middle)

C/O GENERAL MOLY. INC., 1726 COLE BLVD., **SUITE 115**

(Street)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

05/12/2017

General Moly, Inc [GMO]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

10% Owner Director _X__ Officer Other

(give title below) (specify below) Controller/Principal Acctg Off

3.

(I)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

LAKEWOOD, COÂ 80401

(City) (State) (Zip)

1. Title of Security

(Instr. 4)

Common Stock

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

59,182

Form: Direct (D) or Indirect

Ownership

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

(Instr. 5) Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

Expiration Date (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted stock units	(1)	(1)	Common Stock	20,000	\$ (2)	D	Â
Stock appreciation rights	(3)	(4)	Common Stock	1,698	\$ 4.22	D	Â
Stock appreciation rights	(5)	(6)	Common Stock	1,545	\$ 5.49	D	Â
Stock appreciation rights	(7)	(8)	Common Stock	3,857	\$ 3.28	D	Â
Stock appreciation rights	(9)	(10)	Common Stock	2,834	\$ 3.72	D	Â
Stock appreciation rights	(11)	(12)	Common Stock	2,834	\$ 1.15	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Corrion Amanda C/O GENERAL MOLY, INC. 1726 COLE BLVD., SUITE 115 LAKEWOOD, CO 80401	Â	Â	Controller/Principal Acctg Off	Â		

Signatures

/s/ Jennifer A. D'Alessandro, as attorney-in-fact

05/15/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The restricted stock units vest on the earliest to occur of (i) a financing plan for the Mt. Hope Project approved by the issuer's board of directors; (ii) a Change of Control (as defined in the reporting person's employment agreement with the issuer); (iii) involuntary termination (absent cause); or (iv) January 16, 2018. Vested shares will be delivered to the reporting person within sixty (60) days, except as otherwise required by Section 409A of the Internal Revenue Code of 1986, as amended.
- (2) Each restricted stock unit represents a contingent right to receive one share of the issuer's common stock.
- (3) The SARs vest half on May 13, 2012 and half on May 13, 2013.
- (4) The SARs expire half on May 13, 2017 and half on May 13, 2018.
- (5) The SARs vest half on December 16, 2012 and half on December 16, 2013.
- (6) The SARs expire half on December 16, 2017 and half on December 16, 2018.
- (7) The SARs vest one-third on December 15, 2012, one-third on December 15, 2013 and one-third on December 15, 2014.

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- (8) The SARs expire one-third on December 16, 2017, one-third on December 16, 2018 and one-third on December 16, 2019.
- (9) The SARs vest one-third on December 12, 2013, one-third on December 12, 2014 and one-third on December 12, 2015.
- (10) The SARs expire one-third on December 12, 2018, one-third on December 12, 2019 and one-third on December 12, 2020.
- (11) The SARs vest one-third on December 11, 2014, one-third on December 11, 2015 and one-third on December 11, 2016.
- (12) The SARs expire one-third on December 11, 2019, one-third on December 11, 2020 and one-third on December 11, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.