

Aeterna Zentaris Inc.
Form SC 13G/A
March 18, 2019

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No. 1)*

Aeterna Zentaris Inc.
(Name of Issuer)

Common Stock
(Title of Class of Securities)

007975402
(CUSIP Number)

December 31,
2018
(Date of Event
Which Requires
Filing of This
Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- ☐ Rule 13d-1(b)
- ☒ Rule 13d-1(c)
- ☐ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be “filed” for the purpose of Section 18 of the Securities Exchange Act of 1934 (“Act”) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 007975402

NAMES OF
REPORTING
PERSONS

1

I.R.S.
IDENTIFICATION
NOS. OF ABOVE
PERSONS
(ENTITIES ONLY)

1Globe Capital
LLC
80-0841812
CHECK
THE
APPROPRIATE
BOX
IF A
MEMBER
OF A ^(a) o
GROUP
(see ^(b) o
instructions)

2

SEC USE ONLY

3

CITIZENSHIP OR
PLACE OF
ORGANIZATION

4

NUMBER OF
SHARES

Delaware
SOLE VOTING
POWER

5

BENEFICIALLY

0

OWNED BY	SHARED
	VOTING
EACH REPORTING	POWER
PERSON WITH:	6
	0
	SOLE
	DISPOSITIVE
	POWER
	7
	0
8	SHARED
	DISPOSITIVE
	POWER
	0
9	AGGREGATE
	AMOUNT
	BENEFICIALLY
	OWNED BY EACH
	REPORTING
	PERSON
	0
10	CHECK BOX IF
	THE AGGREGATE
	AMOUNT IN ROW
	(9) EXCLUDES
	CERTAIN
	SHARES (see
	instructions)
	PERCENT OF
	CLASS
	REPRESENTED
11	BY AMOUNT IN
	ROW (9)
	0.00%

TYPE OF
REPORTING
PERSON (see
instructions)

12

OO

2

Item 1(a). Name of Issuer:

Aeterna Zentaris Inc.

Item 1(b). Address of Issuer's Principal Executive Offices:

315 Sigma Drive, Suite 302D
Summerville, SC 29486

Item 2(a). Name of Person Filing:

1Globe Capital LLC

Item 2(b). Address of Principal Business Office or, if none, Residence:

One International Place, Suite 4420
Boston, MA 02110

Item 2(c). Citizenship:

See Item 4 on the cover page.

Item 2(d). Title of Class of Securities:

Common Stock

Item 2(e). CUSIP Number: 007975402

If this Statement is filed pursuant to 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

Item 3.

- (a) ☐ Broker or dealer registered under Section 15 of the Act;
- (b) ☐ Bank as defined in Section 3(a)(6) of the Act;
- (c) ☐ Insurance company as defined in Section 3(a)(19) of the Act;
- (d) ☐ Investment company registered under Section 8 of the Investment Company Act of 1940;
- (e) ☐ An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
- (f) ☐ An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F);
- (g) ☐ A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G);
- (h) ☐ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) ☐ A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940;
- (j) ☐ A non-U.S. institution in accordance with Rule 240.13d-1(b)(1)(ii)(J);
- (k) ☐ Group, in accordance with Rule 240.13d-1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance with Rule 240.13d-1(b)(1)(ii)(J), please specify the type of institution:

Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

- (a) Amount Beneficially Owned: 0
- (b) Percent of Class: 0.00%
- (c) Number of shares as to which such person has:
 - (i) sole power to vote or to direct the vote: 0
 - (ii) shared power to vote or to direct the vote: 0
 - (iii) sole power to dispose or to direct the disposition of: 0
 - (iv) shared power to dispose or to direct the disposition of: 0

Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following .

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company.

Not applicable.

Item 8. Identification and Classification of Members of the Group.

Not applicable.

Item 9. Notice of Dissolution of Group.

Not applicable.

Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of and do not have the effect of changing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having such purpose or effect for the time being.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

March 15, 2019
Date

/s/ Linda Li
Signature

Linda Li, Managing Director
Name/Title