GLASS DENNIS R Form 4

March 01, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* **GLASS DENNIS R** 

(First)

(Street)

2. Issuer Name and Ticker or Trading

Symbol

LINCOLN NATIONAL CORP [LNC]

(Check all applicable)

Director 10% Owner Officer (give title \_ Other (specify

5. Relationship of Reporting Person(s) to

(Month/Day/Year)

150 N. RADNOR-CHESTER ROAD 02/27/2019

(Middle)

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

President & CEO

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

below)

#### RADNOR, PA 19087

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			<b>)</b>	Securities Ow Beneficially For Owned Dire Following or I Reported (I)	Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	02/27/2019		Code V A	Amount 145,198 (1)	(D)	Price \$ 0	802,989.68	D			
Common Stock	02/27/2019		F	61,682 (2)	D	\$ 63.01	741,307.68	D			
Common Stock	02/27/2019		A	80,035 (3)	A	\$ 0	821,342.68	D			
Common Stock	02/27/2019		F	3,142 (4)	A	\$ 63.01	818,200.68	D			
Common Stock							1,283.59 (5)	I	By 401(k)		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo Num Shar
Employee Stock Option (right to buy)	\$ 63.01	02/27/2019		A	121,420	02/27/2020(6)	02/27/2029	Common Stock	121

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GLASS DENNIS R

RADNOR, PA 19087

150 N. RADNOR-CHESTER ROAD

President & CEO

## **Signatures**

/s/ Nancy A. Smith, Attorney-in-Fact

03/01/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents settlement of performance share incentive awards for the 2016-2018 performance cycle.
- (2) Tax withholding upon vesting of performance shares.
- (3) Grant of restricted stock units representing a contingent right to receive shares of LNC common stock. The restricted stock units vest on February 27, 2022.
- (4) FICA tax withholding on the grant of restricted stock units.
- (5) Represents the number of shares of common stock beneficially owned through the Company's 401(k) Plan as of 2/1/2019.

Reporting Owners 2

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(6) The option vests in three equal installments on February 27, 2020, 2021 and 2022.

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