

Edgar Filing: MCCORMICK ROBERT J - Form 4

MCCORMICK ROBERT J
Form 4
March 13, 2003

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to
Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940
 Check box if no longer subject of Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person 1

| | | |
|------------------|-------------------|----------|
| McCormick | Robert | J. |
| ----- | | |
| (Last) | (First) | (Middle) |
| c/o Trustco Bank | 5 Sarnowski Drive | |
| ----- | | |
| (Street) | | |
| Glenville | NY | 12302 |
| ----- | | |
| (City) | (State) | (Zip) |

2. Issuer Name and Ticker or Trading Symbol

TrustCo Bank Corp NY (TRST)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/day/Year

March 12, 2003

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

| | |
|--|---|
| <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| <input checked="" type="checkbox"/> Officer (give title below) | <input checked="" type="checkbox"/> Other (specify below) |
| VICE PRESIDENT OF ISSUER | CEO & PRESIDENT OF TRUSTCO BANK SUBSIDIARY OF TRUSTCO BANK CORP NY |

7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person
 Form filed by more than one Reporting Person

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Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/ Year) | 3. Transaction Code (Instr. 8) ----- Code V | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4) |
|---------------------------------------|---|--|--|-----------|-------|--|
| | | | Amount | or (D) | Price | |
| Common Stock | 3/12/03 | M | 265,498 | A | 3.78 | |
| Common Stock | 3/12/03 | J | 104,444 | D | 9.61 | 161,054 |
| Common Stock | | | | | | 200,085 |
| Common Stock | | | | | | 3,749 |
| Common Stock | | | | | | 23,070 |

Page 1 of 2

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(Over)
SEC 1474 (3-99)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

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(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity | 3. Trans- action Date (Month/ Day/ Year) | 4. Trans- action Code (Instr. 8) ----- Code V | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares |
|--|---|--|--|--|---|--|
|--|---|--|--|--|---|--|

| | | | | | | |
|--------------------------------|------|---------|---|---------|-----------------|-----------------|
| Stock Option (Right to Buy) | | | | | | Common Stock |
| Option:Right-to-Buy | 3.78 | 3/12/03 | M | 265,498 | 5/17/94 5/17/04 | Common 265,49 |

/s/ Henry C. Collins 03/12/03

 **Signature of Reporting Person Date

** Intentional misstatements or omissions of facts constitute Federal
 Criminal Violations.
 See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If
 space provided is insufficient, see Instruction 6 for procedure.
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 contained in this form are not required to respond unless the form
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