#### Edgar Filing: VARUGHESE JOHN - Form 3

#### **VARUGHESE JOHN**

Form 3

December 18, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

Estimated average burden hours per

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **VARUGHESE JOHN** 

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

12/10/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

DUNKIN' BRANDS GROUP, INC. [DNKN]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(give title below) (specify below)

SVP, International

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O DUNKIN' BRANDS GROUP, INC., Â 130 ROYALL STREET

(Street)

Director \_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

CANTON. MAÂ 02021

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Common Stock

10

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Date Exercisable and **Expiration Date** 

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

(Instr. 4)

(Month/Day/Year)

### Edgar Filing: VARUGHESE JOHN - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Option to Purchase Common Stock	(1)	02/12/2022	Common Stock	29,274	\$ 47.39	D	Â
Option to Purchase Common Stock	(2)	02/23/2023	Common Stock	23,907	\$ 44.35	D	Â
Option to Purchase Common Stock	(3)	02/16/2024	Common Stock	17,967	\$ 54.95	D	Â
Option to Purchase Common Stock	(4)	02/13/2025	Common Stock	16,294	\$ 59.6	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
VARUGHESE JOHN C/O DUNKIN' BRANDS GROUP, INC. 130 ROYALL STREET	Â	Â	SVP, International	Â		
CANTON, MA 02021						

# **Signatures**

/s/ Ryan Schaffer, as Attorney-in-Fact for John Varughese 12/18/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in four equal annual installments that began on 02/12/2016.
- (2) The option vests in four equal annual installments that began on 02/23/2017.
- (3) The option vests in four equal annual installments that began on 02/16/2018.
- (4) The option vests in four equal annual installments that begin on 02/13/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2