#### Surdykowski Andrew J Form 3 October 26, 2018 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB Number: 3235-0104

#### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Surdykowski Andrew J			2. Date of Event Requirin Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol Intercontinental Exchange, Inc. [ICE]				
(Last)	(First)	(Middle)	10/26/2018		4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)	
5660 NEW	NORTHSI	DE						
DRIVE				(Check	all applicable)			
(Street) ATLANTA, GA 30328				Director10% Own XOfficerOther (give title below) (specify below) General Counsel			<ul> <li>6. Individual or Joint/Group</li> <li>Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting</li> <li>Person</li> <li> Form filed by More than One</li> </ul>	
							Reporting Person	
(City)	(State)	(Zip)	Table I -	Non-Derivat	tive Securiti	es Bei	neficially Owned	
1.Title of Secu (Instr. 4)	rity		2. Amount Beneficiall (Instr. 4)	of Securities y Owned	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	•	
Common St	ock		39,020 <u>(1</u>	<u>)</u>	D	Â		
Reminder: Rep owned directly	-	ate line for ea	ch class of securities benef	<sup>icially</sup> S	SEC 1473 (7-02	)		
	inforn requir	nation conta ed to respo	pond to the collection o ained in this form are no and unless the form dis MB control number.	ot				

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
		Title	Derivative	Security:	
			Security	Direct (D)	

January 31,

2005

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Expires:

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Estimated average burden hours per

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy) Holding	(2)	12/10/2019	Common Stock	4,990	\$ 21.2	D	Â
Employee Stock Option (right to buy) Holding	(2)	01/11/2021	Common Stock	6,960	\$ 22.5	D	Â
Employee Stock Option (right to buy) Holding	(2)	01/20/2025	Common Stock	12,210	\$ 41.59	D	Â
Employee Stock Option (right to buy) Holding	(3)	01/14/2026	Common Stock	12,650	\$ 50.01	D	Â
Employee Stock Option (right to buy) Holding	(4)	01/18/2027	Common Stock	11,439	\$ 57.31	D	Â
Employee Stock Option (right to buy) Holding	(5)	02/08/2028	Common Stock	8,583	\$ 67	D	Â

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
FB	Director	10% Owner	Officer	Other		
Surdykowski Andrew J 5660 NEW NORTHSIDE DRIVE ATLANTA, GA 30328	Â	Â	General Counsel	Â		
Signatures						
/s/ Octavia Spencer, Attorney-in-fact	10/2	26/2018				

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The common stock number referred in Table I is an aggregate number and represents 32,046 shares of common stock and 6,974 unvested performance based restricted stock units, for which the performance period has been satisfied. These performance based restricted stock units vest over a three year period, in which 33.33% of the units vest each year. The satisfaction of the 2018 performance based restricted units tied to earnings before interest, taxes, depreciation, and amortization, ("EBITDA") and the corresponding number of shares to be

- (1) units ded to earnings before interest, taxes, depreciation, and antorization, (EBTIDA ) and the corresponding number of shares to be issued pursuant to these awards, will not be determined until February 2019 and will be reported at the time of vesting. The satisfaction of the 2017 and 2018 total shareholder return performance based restricted stock units and the corresponding number of shares to be issued pursuant to these awards, will not be determined until February 2020 and February 2021, respectively, and will be reported at the time of vesting.
- (2) These options are fully vested.
- (3) Of these options 11,585 options are fully vested and the remaining 1,065 options vest in equal monthly installments between November 14, 2018 and January 14, 2019.
- (4) These options vest in accordance with the following schedule: 33.33% of the options vested on January 18, 2018, 33.33% of the options vest on January 18, 2019 and 33.33% of the options vest on January 18, 2020.
- (5)

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These options vest in accordance with the following schedule: 33.33% of the options vest on February 8, 2019, 33.33% of the options vest on February 8, 2020 and 33.33% of the options vest on February 8, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.