Miiller Ronald L Form 4 October 18, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

may continue.

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Miiller Ronald L Issuer Symbol COMMVAULT SYSTEMS INC (Check all applicable) [CVLT] 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction Director X\_ Officer (give title Other (specify (Month/Day/Year) below) below) 1 COMMVAULT WAY 10/16/2018 SVP, Worldwide Sales (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting TINTON FALLS, NJ 07724 Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial Owned Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price \$ Common 10/16/2018 10/16/2018 F 59.62 3,003 D 91,321.968 D Stock (1) (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Miiller Ronald L - Form 4

| 1. Title o | of 2.         | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exer        | cisable and     | 7. Title | e and    | 8. Price of | 9. Nu  |
|------------|---------------|---------------------|--------------------|-------------------|------------|---------------------|-----------------|----------|----------|-------------|--------|
| Derivativ  | ve Conversion | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | Expiration D        | ate             | Amou     | nt of    | Derivative  | Deriv  |
| Security   | or Exercise   |                     | any                | Code              | of         | (Month/Day/         | Year)           | Under    | lying    | Security    | Secui  |
| (Instr. 3) | Price of      |                     | (Month/Day/Year)   | (Instr. 8)        | Derivativ  | e                   |                 | Securi   | ties     | (Instr. 5)  | Bene   |
|            | Derivative    |                     |                    |                   | Securities |                     |                 | (Instr.  | 3 and 4) | 4)          | Own    |
|            | Security      |                     |                    |                   | Acquired   |                     |                 |          |          |             | Follo  |
|            | •             |                     |                    |                   | (A) or     |                     |                 |          |          |             | Repo   |
|            |               |                     |                    |                   | Disposed   |                     |                 |          |          |             | Trans  |
|            |               |                     |                    |                   | of (D)     |                     |                 |          |          |             | (Instr |
|            |               |                     |                    |                   | (Instr. 3, |                     |                 |          |          |             | `      |
|            |               |                     |                    |                   | 4, and 5)  |                     |                 |          |          |             |        |
|            |               |                     |                    |                   | , ,        |                     |                 |          |          |             |        |
|            |               |                     |                    |                   |            |                     |                 |          | Amount   |             |        |
|            |               |                     |                    |                   |            | Date<br>Exercisable | Expiration Date |          | or       |             |        |
|            |               |                     |                    |                   |            |                     |                 | Title    | Number   |             |        |
|            |               |                     |                    |                   |            | LACICISADIC         |                 |          | of       |             |        |
|            |               |                     |                    | Code V            | (A) (D)    |                     |                 |          | Shares   |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Miiller Ronald L 1 COMMVAULT WAY TINTON FALLS, NJ 07724

SVP, Worldwide Sales

## **Signatures**

Warren H. Mondschein, Attorney-in-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale of common stock was made to satisfy certain tax withholding obligations resulting from the vesting of restricted stock units.
- (2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$59.40 to \$59.88 inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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