

Grigoriadis Dimitri E.
Form 4
September 05, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Grigoriadis Dimitri E.

2. Issuer Name and Ticker or Trading Symbol
NEUROCRINE BIOSCIENCES INC [NBIX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
12780 EL CAMINO REAL

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/04/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Chief Research Officer

SAN DIEGO, CA 92130

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or Price | | |
| Common Stock | 09/04/2018 | | M | | 13,632 A \$ 8.66 | 96,574 | D |
| Common Stock | 09/04/2018 | | M | | 11,037 A \$ 8.65 | 107,611 | D |
| Common Stock | 09/04/2018 | | M | | 5,978 A \$ 19.59 | 113,589 | D |
| Common Stock | 09/04/2018 | | M | | 2,102 A \$ 32.99 | 115,691 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|-----------------|--------------|----------------------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Incentive Stock Option | \$ 8.66 | 09/04/2018 | | M | | 13,632 | | (1) | 01/12/2022 | Common Stock | 13,632 |
| Incentive Stock Option | \$ 8.65 | 09/04/2018 | | M | | 11,037 | | (2) | 01/10/2023 | Common Stock | 11,037 |
| Incentive Stock Option | \$ 19.59 | 09/04/2018 | | M | | 5,978 | | (3) | 01/16/2024 | Common Stock | 5,978 |
| Incentive Stock Option | \$ 32.99 | 09/04/2018 | | M | | 2,102 | | (4) | 02/03/2025 | Common Stock | 2,102 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Grigoriadis Dimitri E. 12780 EL CAMINO REAL SAN DIEGO, CA 92130 | | | Chief Research Officer | |

Signatures

/s/ Darin Lippoldt,
Attorney-in-Fact

09/05/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option granted January 12, 2012 and vested monthly over four years.

(2) Option granted January 10, 2013 and vested monthly over four years.

(3) Option granted January 16, 2014 and vested monthly over four years.

(4) Option granted February 3, 2015 and vests monthly over four years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.