#### QUAIN MITCHELL I

Form 4 June 08, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

1. Name and Address of Reporting Person \*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1(b).

Common

Stock

QUAIN MITCHELL I		Symb		Issuer			
		Astro	Nova, Inc. [ALOT]	(Check all applicable)			
(Last)	(First) (N		e of Earliest Transaction				
CIO ASTRONOVA INC. 600 E			n/Day/Year)	X Director 10% Owner Officer (give title Other (specify			
C/O ASTRONOVA, INC., 600 E GREENWICH AVENUE			/2018	below) below)			
(Street)			mendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Month/Day/Year)	Applicable Line)			
XX XX A DXXII	CIZ DI 02002			_X_ Form filed by One Reporting Person Form filed by More than One Reporting			
w warwi	CK, RI 02893			Person			
(City)	(State)	(Zip) T	able I - Non-Derivative Securities A	cquired, Disposed of, or Beneficially Owned			
1.Title of	2. Transaction Date		3. 4. Securities	5. Amount of 6. Ownership 7. Nature of			
Security (Instr. 3)	(Month/Day/Year)	Execution Date any	if TransactionAcquired (A) or Code Disposed of (D)	Securities Form: Direct Indirect Beneficially (D) or Beneficial			
(Ilisti. 3)		(Month/Day/Ye		Owned Indirect (I) Ownership			
				Following (Instr. 4) (Instr. 4)			
			(A)	Reported Transaction(s)			
G			Code V Amount (D) Pric	(Instr. 3 and 4)			
Common Stock	06/04/2018		A 32 (1) A \$ 0	31,831 D			
				Held in a trust of			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

Ι

16,701

which the

reporting person is a trustee

#### Edgar Filing: QUAIN MITCHELL I - Form 4

# displays a currently valid OMB control

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Under Securi (Instr.	lying	Derivative Security (Instr. 5)	Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
rr a g a m a m a m a m a m	Director	10% Owner	Officer	Other		
QUAIN MITCHELL I C/O ASTRONOVA, INC. 600 E GREENWICH AVENUE W WARWICK, RI 02893	X					

# **Signatures**

/s/ Margaret V. Boericke, by power of attorney 06/08/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Restricted stock award made to the reporting person upon their appointment to the position of Chairman of the Audit Committee,
- (1) pursuant to the Amended and Restated Non-Employee Director Annual Compensation Program. The award vests on the date that is three months following the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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