Edgar Filing: Greenman William Mariner - Form 4

Greenman V Form 4	William Mariner									
March 13, 2	018									
FORM	Λ4					NGE G		OMB AF	PROVAL	
Check this box							OMB Number:	3235-0287		
if no lor	ger	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							January 31, 2005	
subject Section Form 4	16.			RITIES	ICIA		EKSIII OF	Estimated a burden hou response		
Form 5 obligation may cor <i>See</i> Inst 1(b).	$\frac{1}{1}$ Section $17(a)$		Utility Ho	lding Cor	npan	y Act of	Act of 1934, 1935 or Sectior)	1		
(Print or Type	Responses)									
Greenman William Mariner Symbo							5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mic		e of Earliest 7				(Check all applicable)			
C/O CERU STANWEI	th/Day/Year) 0/2018	n/Day/Year) . /2018 -				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) President and CEO				
	d(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting					
CONCORI	D, CA 94520					- 1	Person	ore than One Re	porting	
(City)	(State) (Z	^{ip)} 1	able I - Non-	Derivative	Secu	rities Acqu	ired, Disposed of,	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	a	3. if Transacti Code r) (Instr. 8) Code V	oror Dispos (Instr. 3, 4	ed of	(D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/10/2018		М	22,500	А	<u>(1)</u>	344,420 <u>(2)</u>	D		
Common Stock	03/12/2018		S	7,735 (<u>3)</u>	D	\$ 4.8013 (4)	336,685	D		
Common Stock	03/12/2018		М	28,125	А	(1)	364,810	D		
Common Stock	03/13/2018		S	10,031 (3)	D	\$ 5.05	354,779	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: Greenman William Mariner - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day.	ate	7. Title and 4 Underlying S (Instr. 3 and	Securities	8. l De Sec (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(1)</u>	03/10/2018		М	22,500	(5)	(5)	Common Stock	22,500	
Restricted Stock Unit	<u>(1)</u>	03/12/2018		М	28,125	(7)	(7)	Common Stock	28,125	

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Greenman William Mariner C/O CERUS CORPORATION 2550 STANWELL DRIVE CONCORD, CA 94520	Х		President and CEO		
Signatures					
William M. Greenman by Chrys attorney-in-fact	03/13/201	8			
**Signature of Reporting Person Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one (1) share of Cerus Common Stock.
- (2) Includes 4,982 shares purchased under Issuer's Employee Stock Purchase Plan on 2/28/18.

Represents the number of shares required to be sold to cover the statutory tax withholding obligations and corresponding brokerage fee in connection with the vesting of the restricted stock units listed in Table II. This sale is mandated by the election to satisfy the minimum

(3) connection with the vesting of the restricted stock thirds instead in Table II. This safe is manualed by the election to starsly the minimum statutory tax withholding obligations through a "sell to cover" transaction and does not represent a discretionary sale by the reporting person.

Edgar Filing: Greenman William Mariner - Form 4

The reported price in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$4.80 to \$4.9452 per share, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of

- (4) to \$4.9452 per share, inclusive. The reporting person undertakes to provide to the issuer, any security notice of the issuer, or the start of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the aforementioned range set forth.
- (5) The restricted stock unit vests in three equal annual installments beginning on March 10, 2017.
- (6) Not applicable.
- (7) The restricted stock unit vests in three equal annual installments beginning on March 12, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.