Mitchell William M Form 4 February 16, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Mitchell William M

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

DUNKIN' BRANDS GROUP, INC.

(Check all applicable)

[DNKN]

(Last) (First) 3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify

(Middle)

(Zip)

(Month/Day/Year) 02/14/2018

below) President, International

C/O DUNKIN' BRANDS GROUP,

(Street)

(State)

INC., 130 ROYALL STREET

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**CANTON, MA 02021** 

(City)

		Table 1 - Non-Derivative Securities Acquired, Disposed of, or Deficiencially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of 6. Securities Ownership Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Common	02/14/2018		Code V	Amount 33,000	or (D)	Price	Transaction(s) (Instr. 3 and 4) 33,000	D		
Stock Common	02/14/2018		M	13,180		37.26 \$ 7.31	46,180	D		
Stock Common Stock	02/14/2018		S	46,180	D	\$ 61.3	0	D		
SIOCK						<del>`</del>				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	\$ 7.31	02/14/2018		M	13,180	(2)	03/09/2021	Common Stock	13,180
Option to Purchase Common Stock	\$ 37.26	02/14/2018		M	33,000	(3)	02/12/2023	Common Stock	33,000

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

02/16/2018

Mitchell William M

C/O DUNKIN' BRANDS GROUP, INC.
President,
130 ROYALL STREET
CANTON, MA 02021

President,
International

## **Signatures**

/s/ Richard Emmett, as Attorney-in-Fact for William Mitchell

\*\*Signature of Reporting Person Date

.. . . .

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. Theses shares were sold in multiple transactions at prices ranging from \$61.00 to \$61.56 inclusive. The reporting person undertakes to provide Dunkin' Brands Group, Inc., any security holder of Dunkin' Brands Group, Inc., or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (1) to this Form 4.
- (2) On 03/09/2011, the reporting person was granted an option to purchase 28,239 shares of Common Stock. The option vests based upon time criteria (five equal annual installments that began on 03/09/2012).

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(3) On 02/12/2013, the reporting person was granted an option to purchase 57,000 shares of common stock. The option vests based upon time criteria (four equal annual installments that begin on 02/12/2014).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.