

DUNKIN' BRANDS GROUP, INC.

Form 3

April 11, 2017

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Jaspon Katherine D.

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

04/07/2017

3. Issuer Name and Ticker or Trading Symbol

DUNKIN' BRANDS GROUP, INC. [DNKN]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Chief Financial Officer (i)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting PersonC/O DUNKIN' BRANDS
GROUP, INC., Â 130 ROYALL
STREET

(Street)

CANTON, Â MA Â 02021

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

1,938

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

Edgar Filing: DUNKIN' BRANDS GROUP, INC. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Option to Purchase Common Stock	Â <u>(1)</u>	02/23/2020	Common Stock	9,830	\$ 3.02	D	Â
Option to Purchase Common Stock	Â <u>(1)</u>	03/09/2021	Common Stock	8,794	\$ 7.31	D	Â
Option to Purchase Common Stock	Â <u>(2)</u>	02/12/2023	Common Stock	15,000	\$ 37.26	D	Â
Option to Purchase Common Stock	Â <u>(3)</u>	02/28/2021	Common Stock	15,198	\$ 51.67	D	Â
Option to Purchase Common Stock	Â <u>(4)</u>	02/12/2022	Common Stock	17,654	\$ 47.39	D	Â
Option to Purchase Common Stock	Â <u>(5)</u>	02/23/2023	Common Stock	14,344	\$ 44.35	D	Â
Option to Purchase Common Stock	Â <u>(6)</u>	02/16/2024	Common Stock	10,421	\$ 54.95	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Jaspon Katherine D. C/O DUNKIN' BRANDS GROUP, INC. 130 ROYALL STREET CANTON,Â MAÂ 02021	Â	Â	Â Chief Financial Officer (i)	Â

Signatures

/s/ Katherine D.
Jaspon

04/11/2017

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option has previously vested based on time and performance criteria.

(2) The option has previously vested based on time criteria.

(3) The option vests in four equal annual installments that began on 2/28/2015.

(4) The option vests in four equal annual installments that began on 02/12/2016.

(5) The option vests in four equal annual installments that began on 02/23/2017.

(6) The option vests in four equal annual installments that began on 02/16/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Edgar Filing: DUNKIN' BRANDS GROUP, INC. - Form 3

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.