Edgar Filing: DIAMOND OFFSHORE DRILLING INC - Form 4

DIAMOND OFFSHORE DRILLING INC Form 4 January 03, 2017 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Gaffney Paul G Issuer Symbol DIAMOND OFFSHORE (Check all applicable) DRILLING INC [DO] (Last) (First) (Middle) 3. Date of Earliest Transaction X_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 15415 KATY FREEWAY 01/01/2017 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting HOUSTON, TX 77094 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 5. Amount of 1.Title of 3. 4. Securities 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Beneficially (D) or Indirect Beneficial Disposed of (D) any (Instr. 8) (Month/Day/Year) (Instr. 3, 4 and 5) Owned Ownership (I) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount |
|-------------|-------------|---------------------|--------------------|------------|----------------|-------------------------|----------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | nof Derivative | Expiration Date | Underlying Securitie |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |

| (Instr. 3) | Price of Derivative Security | | (Month/Day/Y | rear) | (Instr. 8 | Acquira (A) or Dispose (D) (Instr. 3) and 5) | ed of | | | | |
|---|--|------------|---------------|---------|-----------|---|-------|---------------------|--------------------|-----------------|--------------------------------------|
| | | | | | Code | V (A) | (D) | Date Exercisable | Expiration Date | Title | Amour or Numbe of Shares |
| Stock Appreciation Right | \$ 17.89 | 01/01/2017 | | | Α | 1,000 | | 01/01/2017 | 01/01/2027 | Common Stock | 1,00 |
| Reportir | ng Owne | rs | | | | | | | | | |
| Reporting Own | er Name / Addre | 66 | Relationships | 5 | | | | | | | |
| Reporting Owner Name / Address | | Director | 10% Owner C | Officer | Other | | | | | | |
| Gaffney Paul 15415 KATY HOUSTON, T | FREEWAY | Х | | | | | | | | | |
| Signatu | res | | | | | | | | | | |
| /s/ Terence W. Waldorf Attorney-in-Fact for Paul G Gaffney | | | for Paul G. | | | 01/03/20 | 17 | | | | |
| | <u>*</u>Signature of Reporting Person | | | | | Date | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.