INNOSPEC INC. Form 4

November 16, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

OMB APPROVAL

Number:

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** ROESER Joachim | | | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer | | | |
|---|-----------|----------|--|---|--|--|--|
| | | (Middle) | INNOSPEC INC. [IOSP] | (Check all applicable) | | | |
| (Last) | (First) | | 3. Date of Earliest Transaction | | | | |
| | | | (Month/Day/Year) | X Director 10% Owner | | | |
| 8310 SOUTH VALLEY | | | 11/14/2016 | Officer (give title Other (specify | | | |
| HIGHWAY, | SUITE 350 | | | below) below) | | | |
| | (Street) | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| | | | Filed(Month/Day/Year) | Applicable Line) | | | |
| ENGLEWOOD CO COS0112 | | | | _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |

Person

ENGLEWOOD, CO CO80112

| (City) | (State) | (Zip) Tabl | le I - Non-I | Derivative | Secu | rities Acqui | red, Disposed of, | or Beneficiall | y Owned |
|--------------------------------------|--------------------------------------|---|---|--|------|--|--|---|---------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5) (A) or | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | |
| Common Stock | 11/14/2016 | | M | 3,398 | A | \$ 4.62 | 8,120 | D | |
| Common Stock | 11/14/2016 | | S | 3,998 | D | \$ 60.5327 | 4,722 | D | |
| Common Stock | 11/14/2016 | | M | 4,335 | A | \$ 10.38 | 9,057 | D | |
| Common Stock | 11/14/2016 | | S | 4,335 | D | \$ 60.5327 | 4,722 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code (Instr. 8) | Transaction Derivative 1 Code Securities (| | sable and de (ear) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|-----------------|--|---------------------|--------------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option | \$ 4.62 | 11/14/2016 | | M | 3,398 | 03/24/2012 | 03/24/2019 | Common Stock | 3,398 |
| Stock Option | \$ 10.38 | 11/14/2016 | | M | 4,335 | 02/17/2013 | 02/17/2020 | Common Stock | 4,335 |

Reporting Owners

Relationships

Reporting Owner Name / Address

Director 10%
Owner Officer Other

ROESER Joachim 8310 SOUTH VALLEY HIGHWAY SUITE 350 ENGLEWOOD, CO CO80112



Signatures

Joachim Roeser

11/16/2016

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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