**NEUSTAR INC** Form 3 October 21, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Expires: January 31, 2005

0.5

Estimated average burden hours per

response...

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement **NEUSTAR INC [NSR]**  Achanta Venkat (Month/Day/Year) 09/28/2016 (Last) (First) (Middle) 4. Relationship of Reporting

21575 RIDGETOP CIRCLE

(Street)

(Check all applicable)

Chief Data & Analytics Officer

Person(s) to Issuer

Director

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Filed(Month/Day/Year)

5. If Amendment, Date Original

\_X\_\_ Officer Other Person (give title below) (specify below)

10% Owner

Form filed by More than One

Reporting Person

STERLING. VAÂ 20166

(City)

(Instr. 4)

1. Title of Security

(State)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) Derivative Security or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security: Expiration Date Security Direct (D) Exercisable Date Amount or or Indirect Title Number of (I) Shares (Instr. 5)

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| Restricted Stock Units | (1) | (2)(3)(4) | Class A<br>Common<br>Stock | 11,880 | \$ 0 | D | Â |
|------------------------|-----|-----------|----------------------------|--------|------|---|---|
| Restricted Stock Units | (5) | (2)(3)(4) | Class A<br>Common          | 38,180 | \$ 0 | D | Â |

## **Reporting Owners**

| Reporting Owner Name / Address                                | Relationships |           |                                |       |  |  |
|---|---------------|-----------|--------------------------------|-------|--|--|
| Transfer and the same   | Director      | 10% Owner | Officer                        | Other |  |  |
| Achanta Venkat<br>21575 RIDGETOP CIRCLE<br>STERLING, VA 20166 | Â             | Â         | Chief Data & Analytics Officer | Â     |  |  |

# **Signatures**

/s/ Paul S. Lalljie, by power of attorney

10/21/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-third of the restricted stock units vest on each of July 25, 2017, 2018 and 2019.
  - Following a Change in Control (as defined in the Neustar, Inc. Amended and Restated 2009 Stock Incentive Plan (the "Plan")) following which any portion of the Reporting Person's RSUs remains unvested, upon the Reporting Person's Termination (as defined in the Plan) (i)
- (2) by reason of the Reporting Person's death or Disability (as defined in the Plan), (ii) by the Company without Cause (as defined in the Plan), (iii) by the Reporting Person with Good Reason (as defined in the Plan), in each case, within two (2) years after such Change in Control (as defined in the Plan), the Reporting Person's RSUs shall immediately vest in full upon such Termination.
  - Upon the Reporting Person's Termination due to Retirement (as defined in the Plan), a number of unvested RSUs (if any) equal to the product of (i) the number of RSUs that would have become vested on the next scheduled Vesting Date (as defined in the Plan) had the Reporting Person's employment continued through such Vesting Date and (ii) a fraction, the numerator of which is the number of days
- (3) from the last Vesting Date preceding the date of such Termination (or in the case of a Termination prior to the first such Vesting Date, the number of days from the Grant Date (as defined in the Plan)) through the date of such Termination, and the denominator of which is the number of days from the last Vesting Date preceding the date of such Termination (or in the case of a Termination prior to the first such Vesting Date, the number of days from the Grant Date) through the next scheduled Vesting Date, shall immediately vest.
- Upon the Reporting Person's Termination (as defined in the Plan) (i) by the Company for Cause (as defined in the Plan) or (ii) by the
- (4) Reporting Person (x) voluntarily, and (y) other than due to the Reporting Person's Retirement (as defined in the Plan), any unvested RSUs shall immediately be forfeited without compensation.
- (5) 38.9% vests on January 31, 2017 and 61.1% vests on January 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2