

ANTARES PHARMA, INC.
Form 3
September 12, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|--|---|---|--|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Muckenhirn Keith E</p> <p>(Last) (First) (Middle)</p> <p>C/O ANTARES PHARMA, INC., Â 100 PRINCETON SOUTH, SUITE 300</p> <p>(Street)</p> <p>EWING, Â NJ Â 08628</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>09/04/2016</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>ANTARES PHARMA, INC. [ATRS]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>VP/Controller & Interim CFO</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|--|---|---|--|---|--|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 50,000 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------------|---------------------------|-----------------|--|----------------------------|----------|---------------------------------------|---|
| Stock Option (right to buy) | 01/16/2010 ⁽¹⁾ | 01/15/2017 | Common Stock \$0.01 par value per share | 30,000 | \$ 1.23 | D | Â |
| Stock Option (right to buy) | 05/10/2010 ⁽¹⁾ | 05/09/2017 | Common Stock \$0.01 par value per share | 15,000 | \$ 1.65 | D | Â |
| Stock Option (right to buy) | 05/14/2011 ⁽¹⁾ | 05/13/2018 | Common Stock \$0.01 par value per share | 45,000 | \$ 0.85 | D | Â |
| Stock Option (right to buy) | 11/12/2011 ⁽¹⁾ | 11/11/2018 | Common Stock \$0.01 par value per share | 20,000 | \$ 0.47 | D | Â |
| Stock Option (right to buy) | 11/11/2012 ⁽¹⁾ | 11/11/2019 | Common Stock \$0.01 par value per share | 45,000 | \$ 1.1 | D | Â |
| Stock Option (right to buy) | 11/11/2013 ⁽¹⁾ | 11/10/2020 | Common Stock \$0.01 par value per share | 35,000 | \$ 1.52 | D | Â |
| Stock Option (right to buy) | 05/17/2015 ⁽¹⁾ | 05/16/2022 | Common Stock \$0.01 par value per share | 20,000 | \$ 2.94 | D | Â |
| Stock Option (right to buy) | 05/22/2016 ⁽¹⁾ | 05/22/2023 | Common Stock \$0.01 par value per share | 30,000 | \$ 3.96 | D | Â |
| Stock Option (right to buy) | Â ⁽²⁾ | 11/19/2024 | Common Stock \$0.01 par | 35,000 | \$ 2.29 | D | Â |

| | | | value per share | | | | |
|-----------------------------|-------|------------|--|--------|---------|---|---|
| Stock Option (right to buy) | Â (3) | 05/29/2024 | Common Stock \$0.01 par value per share | 35,000 | \$ 3.09 | D | Â |
| Stock Option (right to buy) | Â (4) | 05/28/2025 | Common Stock \$0.01 par value per share | 35,000 | \$ 2.18 | D | Â |
| Stock Option (right to buy) | Â (5) | 06/02/2026 | Common Stock \$0.01 par value per share | 40,000 | \$ 1.12 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Muckenhirn Keith E C/O ANTARES PHARMA, INC. 100 PRINCETON SOUTH, SUITE 300 EWING, NJ 08628 | Â | Â | Â VP/Controller & Interim CFO | Â |

Signatures

Keith Muckenhirn 09/12/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents grant of options to purchase shares of common stock, par value of \$0.01 per share, issued under the Antares Pharma, Inc. 2008 Equity Compensation Plan. The options vested in equal quarterly installments over three years from the initial grant date. The exercisable date listed above represents the date the options became fully vested and exercisable.
- (2) Represents grant of options to purchase shares of common stock, par value of \$0.01 per share, issued under the Antares Pharma, Inc. 2008 Equity Compensation Plan. The options were granted on November 20, 2014 and are vesting in equal quarterly installments over three years from the initial grant date.
- (3) Represents grant of options to purchase shares of common stock, par value of \$0.01 per share, issued under the Antares Pharma, Inc. 2008 Equity Compensation Plan. The options were granted on May 29, 2014 and are vesting in equal quarterly installments over three years from the initial grant date.
- (4) Represents grant of options to purchase shares of common stock, par value of \$0.01 per share, issued under the Antares Pharma, Inc. 2008 Equity Compensation Plan. The options were granted on May 28, 2015 and are vesting in equal quarterly installments over three years from the initial grant date.

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- (5) Represents grant of options to purchase shares of common stock, par value of \$0.01 per share, issued under the Antares Pharma, Inc. 2008 Equity Compensation Plan. The options were granted on June 2, 2016 and are vesting in equal quarterly installments over three years from the initial grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.