RPM INTERNATIONAL INC/DE/

Form 4

August 11, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

value

(Print or Type	Responses)										
RICE RONALD A Symbo RPM			Symbol	r Name and				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 2628 PEAR	(First) (I	Middle) SOX 777	3. Date of Earliest Transaction (Month/Day/Year) 08/09/2016					Director 10% Owner _X_ Officer (give title Other (specify below) President and COO			
					mendment, Date Original Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
MEDINA,	OH 44258						Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tabl	le I - Non-I	Derivative :	Securi	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				Beneficially Form: I Owned (D) or Following Indirect Reported (Instr. 4 Transaction(s)	Ownership Form: Direct	ect Beneficial Ownership	
C				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock, \$0.01 par value	08/09/2016			M	10,000	A	\$ 18.96	380,088	D		
Common Stock, \$0.01 par value	08/09/2016			M	50,000	A	\$ 20.73	430,088	D		
Common Stock, \$0.01 par	08/09/2016			D	22,330	D	\$ 54.91	407,758	D		

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Common Stock, \$0.01 par value	08/09/2016	F	17,121	D	\$ 54.91	390,637 (1)	D	
Common Stock, \$0.01 par						4,681 <u>(2)</u>	I	By 401(k) Plan
value								

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ve Expiration Date es (Month/Day/Year) d (A) osed of		7. Title and Amount Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Stock Appreciation Rights	\$ 18.96	08/09/2016		M		10,000	(3)	10/08/2019	Common Stock	10,00
Stock Appreciation Rights	\$ 20.73	08/09/2016		M		50,000	<u>(4)</u>	10/07/2020	Common Stock	50,00

Reporting Owners

MEDINA, OH 44258

Reporting Owner Name / Address	Relationships								
rioporous o mar rumo, raun ess	Director	10% Owner	Officer	Other					
RICE RONALD A									
2628 PEARL ROAD			Dragidant and COO						
P.O. BOX 777			President and COO						

Reporting Owners 2

Signatures

/s/ Ronald A. Rice, by Gregory J. Dziak, his attorney-in-fact pursuant to Power of Attorney dated September 26, 2013 on file with the Commission

08/11/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Includes an aggregate of 14,786 shares of Common Stock issued pursuant to the 1997 RPM International Inc. Restricted Stock Plan, as amended, 105,455 shares of Common Stock issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan, 87,500 shares of
- (1) Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2004 and 2014 Omnibus Equity Incentive Plans, and 40,000 shares of Common Stock, issued as Performance Contingent Restricted Stock, pursuant to the RPM International Inc. 2014 Omnibus Equity and Incentive Plan.
- (2) Approximate number of shares held as of July 25, 2016 in the account of reporting person by Wachovia Bank, N.A., as Trustee of the RPM International Inc. 401(k) Trust and Plan, as amended.
- (3) The Stock Appreciation Rights vested on October 8, 2010, 2011, 2012 and 2013.
- (4) The Stock Appreciation Rights vested on October 7, 2013 and 2014.
- Stock Appreciation Rights granted pursuant to the RPM International Inc. 2004 and 2014 Omnibus Equity and Incentive Plans in exempt (5) transactions under Rule 16b-3. These Stock Appreciation Rights vest in four equal annual installments commencing one year after the
- date of grant. These Stock Appreciation Rights were granted between 2011 and 2016 and expire 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3