STEPAN CO Form 4 July 20, 2016

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB Number:

3235-0287

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response...

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may continue.

See Instruction

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Servatius Gregory

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) STEPAN CO [SCL]

(Middle)

(Check all applicable)

22 W. FRONTAGE ROAD

3. Date of Earliest Transaction

(Month/Day/Year) 07/18/2016

Director 10% Owner X\_ Officer (give title Other (specify

below) VP of Human Resources

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### NORTHFIELD, IL 60093

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secu	rities Acq	uired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(msu. +)	
Common Stock	07/18/2016		M(1)	1,000	A	\$ 18.46	24,731.382	D	
Common Stock	07/18/2016		S <u>(1)</u>	1,000	D	\$ 62.8	23,731.382	D	
Common Stock	07/20/2016		M(1)	400	A	\$ 18.46	24,131.382	D	
Common Stock	07/20/2016		S <u>(1)</u>	400	D	\$ 63	23,731.382	D	
Common Stock							11,723.454	I	By ESOP II Trust

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Member of Plan Common Ι Committee 442,047 Stock of Stepan Company

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number nof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 18.46	07/18/2016		M <u>(1)</u>	1,000	02/10/2011	02/09/2017	Common Stock	1,000
Employee Stock Option (Right to Buy)	\$ 18.46	07/20/2016		M <u>(1)</u>	400	02/10/2011	02/09/2017	Common Stock	400

# **Reporting Owners**

Reporting Owner Name / Address	Keiationsnips						
1 8	Director	10% Owner	Officer	Other			
Servatius Gregory 22 W. FRONTAGE ROAD NORTHFIELD, IL 60093			VP of Human Resources				

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### **Signatures**

Greg Servatius 07/20/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction completed pursuant to a 10b5-1 Trading Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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