Intercontinental Exchange, Inc.

Form 4 July 08, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

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January 31, 2005

0.5

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**OMB APPROVAL** 

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Check this box

obligations may continue. See Instruction

1 Name and Address of Departing De

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

07/06/2016

(Print or Type Responses)

1. Name and A Farley Thor	2. Issuer Name and Ticker or Trading Symbol Intercontinental Exchange, Inc. [ICE]				5. Relationship of Reporting Person(s) to Issuer					
a o a a a a a a a a a a a a a a a a a a					[]	(Check all applicable)				
(Last)	(First)	Middle)		f Earliest Tr	ansaction					
5660 NEW NORTHSIDE DRIVE			(Month/Day/Year) 07/06/2016				Director 10% OwnerX Officer (give title Other (specify below) President, NYSE Group			
	4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
ATLANTA	, GA 30328		Filed(Mon	nth/Day/Year	)			Applicable Line) _X_ Form filed by C Form filed by M Person		
(City)	(State)	(Zip)	Tabl	le I - Non-D	)erivative	Securit	ties Acq	uired, Disposed of	, or Beneficiall	y Owned
1.Title of	2. Transaction Date	e 2A. Deen	ned	3.	4. Securit	ies Acq	uired	5. Amount of	6.	7. Nature of
Security	(Month/Day/Year) Execution		Date, if Transaction(A) or Disposed of (D)			of (D)	Securities	Ownership	Indirect	
(Instr. 3)		any		Code	(Instr. 3, 4	4 and 5	)	Beneficially	Form: Direct	
		(Month/L	Day/Year)	(Instr. 8)				Owned	(D) or	Ownership
				Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	Indirect (I) (Instr. 4)	(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 $14,063 \stackrel{(2)}{=}$ 

253.18

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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#### Edgar Filing: Intercontinental Exchange, Inc. - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						`
					4, and 5)						
					, ,						
									Amount		
						Date Exercisable	Expiration Date		or		
									Number		
									of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Farley Thomas W 5660 NEW NORTHSIDE DRIVE

President, NYSE Group

**Signatures** 

ATLANTA, GA 30328

/s/Andrew J. Surdykowski, Attorney-in-fact 07/08/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.

The common stock number referred in Table I is an aggregate number and represents 2,070 shares of common stock and 11,993 unvested performance based restricted stock units, for which the performance period has been satisfied. These performance based restricted stock units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year. The satisfaction of the

(2) units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year. The satisfaction of the performance target for the 2016 performance based restricted stock units and the one-time NYSE merger-related performance based restricted stock units, and the corresponding number of shares of common stock to be issued pursuant to these awards, will not be determined until February 2017 and will be reported at the time of vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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