Restaurant Brands International Inc.

Form 4 July 08, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Restaurant Brands International Inc.

30(h) of the Investment Company Act of 1940 See Instruction

Symbol

[QSR]

1(b).

(Last)

(City)

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Diaz-Sese Elias

(First)

(Middle)

226 WYECROFT ROAD

(Street)

(State)

(Zip)

(Month/Day/Year) 07/06/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

Issuer

(Check all applicable)

Director 10% Owner

X\_ Officer (give title Other (specify below) below)

See Remarks

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

OAKVILLE, A6 L6K 3X7

1.Title of 2. Transaction Date 2A. Deemed

3. (Month/Day/Year) Execution Date, if

> (Month/Day/Year) (Instr. 8)

TransactionAcquired (A) or Code Disposed of (D)

4. Securities (Instr. 3, 4 and 5)

(A)

or

5. Amount of Securities Beneficially Owned Following Reported

Form: Direct (D) or Indirect (I) (Instr. 4)

D

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

85,289

Common Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Restaurant Brands International Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (E) (Instr. 3, 4, and 5)	))	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and An Underlying Sec (Instr. 3 and 4)
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title N
Exchangeable units (1) (2)	<u>(1)</u> <u>(2)</u>						<u>(2)</u>	<u>(2)</u>	Common Shares
Option (right to buy)	\$ 3.54						(3)	02/02/2021	Common Shares
Option (right to buy)	\$ 3.54						(3)	07/31/2021	Common Shares
Option (right to buy)	\$ 3.54						12/31/2016	02/20/2022	Common Shares
Option (right to buy)	\$ 3.97						03/01/2017	02/28/2022	Common Shares
Option (right to buy)	\$ 18.25						12/31/2017	02/28/2023	Common Shares
Option (right to buy)	\$ 18.25						03/01/2018	02/28/2023	Common Shares
Option (right to buy)	\$ 27.28						12/31/2018	03/06/2024	Common Shares
Option (right to buy)	\$ 27.28						12/31/2018	03/06/2024	Common Shares
Option (right to buy)	\$ 27.28						03/07/2019	03/06/2024	Common Shares
Option (right to buy)	\$ 42.26						12/31/2019	03/05/2025	Common Shares
Option (right to buy)	\$ 42.26						03/06/2020	03/05/2025	Common Shares
Restricted Share Units	<u>(4)</u>						(5)	(5)	Common Shares
Dividend Equivalent Rights	<u>(6)</u>	07/06/2016		A	162.0674		<u>(7)</u>	<u>(7)</u>	Common Shares
Option (right to buy)	\$ 33.67						02/26/2021	02/25/2026	Common Shares

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Diaz-Sese Elias

226 WYECROFT ROAD See Remarks

Signatures

OAKVILLE, A6 L6K 3X7

/s/ Lisa Giles-Klein, as Attorney-in-Fact for Elias
Diaz-Sese
07/08/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On December 12, 2014, Burger King Worldwide, Inc. ("Burger King Worldwide") consummated the business combination (the "Merger") pursuant to the Arrangement Agreement and Plan of Merger dated August 26, 2014 by and among Burger King Worldwide,

(1) Tim Hortons Inc., Restaurant Brands International Inc., Restaurant Brands International Limited Partnership and the other parties thereto (the "Arrangement Agreement"). Pursuant to the Reporting Person's election under the Arrangement Agreement, each share of Burger King Worldwide common stock previously held by the Reporting Person was converted into one Restaurant Brands International Limited Partnership exchangeable unit.

Each Restaurant Brands International Limited Partnership exchangeable unit is convertible, at the Reporting Person's election, at any time after the one year anniversary of the Merger, into common shares of Restaurant Brands International Inc. or a cash amount equal to a prescribed cash amount determined by reference to the weighted average trading price of Restaurant Brands International Inc.'s common

- shares on the New York Stock Exchange for the 20 consecutive trading days ending on the last business day prior to the exchange date, at the sole discretion of the general partner of Restaurant Brands International Limited Partnership (subject to the consent of the Restaurant Brands International Inc. conflicts committee, in certain circumstances). This conversion right has no expiration date.
- (3) These options are immediately exercisable.
- (4) Each restricted share unit represents a contingent right to receive one common share.
- (5) These restricted share units vest on December 31, 2020.
- (6) Each dividend equivalent right represents a contingent right to receive one common share.

These dividend equivalent rights accrued on the 2016 restricted share unit award (the "2016 RSUs"). Dividend equivalent rights accrue when and as dividends are paid on the common shares underlying the 2016 RSUs and vest proportionately with and are subject to settlement and expiration upon the same terms as the 2016 RSUs to which they relate.

#### **Remarks:**

Senior EVP, President, Tim Hortons

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