SIGNAL GENETICS, INC.

Form 4 June 30, 2016

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

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Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1 Name and Address of Departing De

| Riccitelli San | * | rting Person _ | 2. Issuer Name and Ticker or Trading Symbol | S. Relationship of Reporting Person(s) to Issuer | | | |
|---|------------|----------------|--|--|--|--|--|
| | | | SIGNAL GENETICS, INC. [SGNL] | (Check all applicable) | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | | | | |
| | | | (Month/Day/Year) | X Director 10% Owner | | | |
| C/O SIGNAL GENETICS, INC., 5740 FLEET STREET (Street) | | | 06/28/2016 | _X_ Officer (give title Other (specify | | | |
| | | | | below) below) President & CEO | | | |
| | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| CARLSBAD | , CA 92008 | | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Table I - Non-Derivative Securities Acc | quired, Disposed of, or Beneficially Owned | | | |
| 4 551 0 | | | | | | | |

| (City) | (State) | Table Table | e I - Non-D | erivative Se | ecuriti | es Acqu | ired, Disposed of | , or Beneficiall | y Owned |
|---------------------|--------------------------------------|-------------------------------|-------------|-------------------------------|-----------|-------------|----------------------------|------------------------|-----------------------|
| 1.Title of | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | 3. | 4. Securitie | • | | 5. Amount of Securities | 6. Ownership | 7. Nature of Indirect |
| Security (Instr. 3) | (Month/Day/Tear) | any | Code | on(A) or Disp (Instr. 3, 4 | | ` ′ | Beneficially | Form: Direct | Beneficial |
| | | (Month/Day/Year) | (Instr. 8) | | | | Owned Following | (D) or Indirect (I) | Ownership (Instr. 4) |
| | | | | | (A) | | Reported Transaction(s) | (Instr. 4) | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | |
| Common Stock | 06/28/2016 | | M | 124,251 | A | \$ 0 (1) | 539,365 | D | |
| Common Stock | 06/28/2016 | | F | 64,835 (2) | D | \$ 0.46 | 474,530 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---|---|--|--------------------|---|----------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Units (1) | \$ 0 (1) | 06/28/2016 | | M | 124,251 | (3) | (3) | Common Stock | 124,251 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------|---------------|-----------|-----------|-------|--|--|--|--|
| r g | Director | 10% Owner | Officer | Other | | | | |
| Riccitelli Samuel D | | | | | | | | |
| C/O SIGNAL GENETICS, INC. | X | | President | | | | | |
| 5740 FLEET STREET | Λ | | & CEO | | | | | |
| CARLSBAD, CA 92008 | | | | | | | | |

Signatures

/s/Samuel D.
Riccitelli

**Signature of Reporting Person

O6/30/2016

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each restricted stock unit represents the contingent right to receive the economic equivalent of one share of the Issuer's common stock, (1) payable in shares of common stock, cash or some combination of both, as determined by the Issuer. The restricted stock units reported
- herein converted into common stock on a one-for-one basis.
- (2) Shares withheld to satisfy tax withholding obligations.
- (3) On June 17, 2014, the reporting person was granted 745,511 restricted stock units. Under the terms of the restricted stock unit agreement, the final 16-2/3% of the award vested on the two-year anniverary of the grant date and settled on June 28, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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