#### Edgar Filing: WORTHINGTON INDUSTRIES INC - Form 4

WORTHINGTON INDUSTRIES INC Form 4 February 05, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BILLMAN ANDREW J** Issuer Symbol WORTHINGTON INDUSTRIES (Check all applicable) INC [WOR] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 200 OLD WILSON BRIDGE ROAD 02/03/2016 Pres., Worthington Cylinders (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting COLUMBUS, OH 43085 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial Ownership (Month/Day/Year) Owned (D) or (Instr. 8) Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 02/03/2016 Μ 3.000 А \$20.8 67,666 D shares Common 02/03/2016 S 3,000 D \$ 29.5 64,666 D shares Common 02/03/2016 2,500 А D Μ 67,166 13 39 shares Common 02/03/2016 S 2.500 D \$ 29.5 64.666 D shares Common 02/03/2016 Μ 10,000 A D 74,666 12.05 shares

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Common shares	02/03/2016	S	10,000	D	\$ 29.5	64,666	D
Common shares	02/03/2016	М	6,500	А	\$ 23.1	71,166	D
Common shares	02/03/2016	S	6,500	D	\$ 29.5	64,666	D
Common shares	02/03/2016	М	13,500	А	\$ 14.84	78,166	D
Common shares	02/03/2016	S	13,500	D	\$ 29.5	64,666	D
Common shares	02/03/2016	М	27,500	А	\$ 20.47	92,166	D
Common shares	02/03/2016	S	27,500	D	\$ 29.5	64,666	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		nd 7. Title and A of Underlying Securities (Instr. 3 and 4	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	A or N of
Non-qualified Stock Option (right to buy)	\$ 20.8	02/03/2016		М	3,000	12/03/2008(1)	12/03/2017	common shares	
Non-qualified Stock Option (right to buy)	\$ 13.39	02/03/2016		М	2,500	10/16/2010 <u>(1)</u>	10/16/2019	common shares	( 4
Non-qualified Stock Option (right to buy)	\$ 12.05	02/03/2016		М	10,000	07/02/2011 <u>(2)</u>	07/02/2020	common shares	1
Non-qualified Stock Option	\$ 23.1	02/03/2016		М	6,500	06/30/2012 <u>(3)</u>	06/30/2021	common shares	e

(right to buy)

Non-qualified Stock Option (right to buy)	\$ 14.84	02/03/2016	М	13,500	09/02/2012 <u>(4)</u>	09/02/2021	common shares	1
Non-qualified Stock Option (right to buy)	\$ 20.47	02/03/2016	М	27,500	06/29/2013 <u>(5)</u>	06/29/2022	common shares	2

## **Reporting Owners**

Relationships						
Director	10% Owner	Officer	Other			
		Pres., Worthington Cylinders				
Signatures						
/s/Dale T. Brinkman, as attorney-in-fact for Andrew J. Billman						
		Date				
		Director 10% Owner	Director 10% Owner Officer Pres., Worthington Cylinders			

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This non-qualified stock option was granted out of the Company's 2003 Stock Option Plan and vests at 20% per year. Date listed is the first day the options are available.
- (2) This option was granted out of the Worthington Industries, Inc. 1997 Long-Term Incentive Plan and vests at 20% per year beginning on the first anniversary of the grant date. Date listed is the first day any portion of the option vested.
- (3) This non-qualified stock option was granted pursuant to the Worthington Industries, Inc. 2010 Stock Option Plan. Date listed is the first day any portion of the option will vest. Additional portions of 33.33% of the option vest annually on 6/30/13 and 6/30/14.
- (4) This non-qualified stock option was granted pursuant to the Worthington Industries, Inc. 2010 Stock Option Plan. Date listed is the first day any portion of the option will vest. Additional portions of 33.33% of the option vest annually on 09/02/2013 and 9/02/2014.
- (5) This non-qualified stock option was granted pursuant to the Worthington Industries, Inc. 2010 Stock Option Plan. Date listed is the first day any portion of the option will vest. Additional portions of 33.33% of the option vest annually on 06/29/2014 and 6/29/2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.