

Sunrun Inc.  
Form 3  
August 04, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Foundation Capital  
Management Co. VI, LLC

(Last) (First) (Middle)

C/O FOUNDATION  
CAPITAL,Â 250  
MIDDLEFIELD ROAD

(Street)

MENLO PARK,Â CAÂ 94025

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)  
08/04/2015

3. Issuer Name **and** Ticker or Trading Symbol  
Sunrun Inc. [RUN]

4. Relationship of Reporting  
Person(s) to Issuer

5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director ☒ 10% Owner  
\_\_\_\_ Officer ☒ Other  
(give title below) (specify below)  
Mmbr 13D group owning > 10%

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☐ Form filed by One Reporting  
Person  
☒ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

556,355

I

Foundation Capital VI, L.P. <sup>(1)</sup>

Common Stock

6,216

I

Foundation Capital VI Principals  
Fund, LLC <sup>(1)</sup>

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

# Edgar Filing: Sunrun Inc. - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Series A Preferred Stock (Convertible)	Â (2)	Â (2)	Common Stock	8,256,563 \$ (2)	I Foundation Capital VI, L.P. (1)
Series A Preferred Stock (Convertible)	Â (2)	Â (2)	Common Stock	92,255 \$ (2)	I Foundation Capital VI Principals Fund, LLC (1)
Series B Preferred Stock (Convertible)	Â (3)	Â (3)	Common Stock	2,756,383 \$ (3)	I Foundation Capital VI, L.P. (1)
Series B Preferred Stock (Convertible)	Â (3)	Â (3)	Common Stock	30,798 \$ (3)	I Foundation Capital VI Principals Fund, LLC (1)
Series C Preferred Stock (Convertible)	Â (4)	Â (4)	Common Stock	3,916,208 \$ (4)	I Foundation Capital VI, L.P. (1)
Series C Preferred Stock (Convertible)	Â (4)	Â (4)	Common Stock	43,757 \$ (4)	I Foundation Capital VI Principals Fund, LLC (1)
Series D Preferred Stock (Convertible)	Â (5)	Â (5)	Common Stock	357,150 \$ (5)	I Foundation Capital VI, L.P. (1)
Series D Preferred Stock (Convertible)	Â (5)	Â (5)	Common Stock	3,991 \$ (5)	I Foundation Capital VI Principals Fund, LLC (1)
Series E Preferred Stock (Convertible)	Â (6)	Â (6)	Common Stock	198,809 \$ (6)	I Foundation Capital VI, L.P. (1)
Series E Preferred Stock (Convertible)	Â (6)	Â (6)	Common Stock	2,221 \$ (6)	I Foundation Capital VI Principals Fund, LLC (1)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Foundation Capital Management Co. VI, LLC C/O FOUNDATION CAPITAL	Â	Â X	Â	Mmbr 13D group owning > 10%

250 MIDDLEFIELD ROAD  
MENLO PARK, CA 94025

FOUNDATION CAPITAL VI LP  
250 MIDDLEFIELD ROAD  
MENLO PARK, CA 94025

Â Â X Â Mmbr 13D group owning > 10%

Foundation Capital VI Principals Fund, LLC  
250 MIDDLEFIELD ROAD  
MENLO PARK, CA 94025

Â Â X Â Mmbr 13D group owning > 10%

## Signatures

Gail M. Haney, as  
Attorney-in-Fact

08/04/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Foundation Capital Management Co. VI, LLC is the sole manager of Foundation Capital VI, L.P. and Foundation Capital VI Principals Fund, LLC and has sole voting and investment power with respect to the shares held by Foundation Capital VI, L.P. and Foundation Capital VI Principals Fund, LLC. William B. Elmore, Paul G. Koontz, Michael N. Schuh, Paul R. Holland, Richard A. Redelfs, Steve P. Vassallo, Charles P. Moldow and Warren M. Weiss are managing members of Foundation Capital Management Co. VI, LLC, and may be deemed to share voting and investment power over the shares owned by Foundation Capital VI, L.P. and Foundation Capital VI Principals Fund, LLC. Each of the managing members of Foundation Capital Management Co. VI, LLC disclaims beneficial ownership in the shares held by the aforementioned entities except to the extent of his or her pecuniary interest therein.
- (2) The Series A Preferred Stock is convertible into Common Stock on a 1:1 basis and has no expiration date. Immediately prior to the completion of the Issuer's initial public offering, all shares of Series A Preferred Stock will be converted into shares of Common Stock.
- (3) The Series B Preferred Stock is convertible into Common Stock on a 1:1 basis and has no expiration date. Immediately prior to the completion of the Issuer's initial public offering, all shares of Series B Preferred Stock will be converted into shares of Common Stock.
- (4) The Series C Preferred Stock is convertible into Common Stock on a 1:1 basis and has no expiration date. Immediately prior to the completion of the Issuer's initial public offering, all shares of Series C Preferred Stock will be converted into shares of Common Stock.
- (5) The Series D Preferred Stock is convertible into Common Stock on a 1:1 basis and has no expiration date. Immediately prior to the completion of the Issuer's initial public offering, all shares of Series D Preferred Stock will be converted into shares of Common Stock.
- (6) The Series E Preferred Stock is convertible into Common Stock on a 1:1 basis and has no expiration date. Immediately prior to the completion of the Issuer's initial public offering, all shares of Series E Preferred Stock will be converted into shares of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.