Edgar Filing: Horizon Pharma plc - Form 4

| Horizon Phar Form 4 | ma plc | | | | | | | | | | | |
|---|-----------------------------------|----------------------|--------|--|--|------------------------------------|--|---|--|----------|--|--|
| September 22 | 2, 2014 | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | 9PROVAL 3235-0287 | | | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 Section 17(a) of the Public Utility Holding Company Act of 1935 or Sec 30(h) of the Investment Company Act of 1940 | | | | | | e Act of 1934, f 1935 or Sectio | Expires:January 31 2005Estimated average burden hours per response0.5 | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | | |
| SMITH TODD N Sy | | | Symbol | 2. Issuer Name and Ticker or Trading Symbol Horizon Pharma plc [HZNP] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) | (Middle) | | Earliest Tra | - | LJ | | (Cheo | ck all applicable | e) | | |
| | | | | ay/Year) | | | | Director 10% Owner Officer (give title Other (specify below) below) below) EVP, Chief Commercial Officer | | | | |
| | | | | ndment, Date Original hth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| DEERFIELI | D, IL 60015 | | | | | | | Person | More than One Re | eporting | | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative S | ecurit | ies Acq | uired, Disposed o | f, or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction I (Month/Day/Ye | ear) Executio any | | Code | 4. Securiti on(A) or Dis (D) (Instr. 3, 4 Amount | sposed | of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| Common Stock | 09/19/2014 | | | D <u>(1)</u> | 54,138 | D | <u>(1)</u> | 0 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|-----|--------|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 20.78 | 09/19/2014 | | D <u>(1)</u> | | 18,955 | (2) | 12/20/2020 | Common Stock | 18,955 |
| Stock Option (Right to Buy) | \$ 4.96 | 09/19/2014 | | D <u>(1)</u> | | 21,880 | <u>(4)</u> | 12/07/2021 | Common Stock | 21,880 |
| Restricted Stock Units | <u>(5)</u> | 09/19/2014 | | D <u>(1)</u> | | 6,699 | (6) | (5) | Common Stock | 6,699 |
| Stock Option (Right to Buy) | \$ 2.4 | 09/19/2014 | | D <u>(1)</u> | | 61,000 | <u>(4)</u> | 01/01/2023 | Common Stock | 61,000 |
| Restricted Stock Units | <u>(5)</u> | 09/19/2014 | | D <u>(1)</u> | | 41,250 | <u>(6)</u> | (5) | Common Stock | 41,250 |
| Stock Option (Right to Buy) | \$ 7.61 | 09/19/2014 | | D <u>(1)</u> | | 70,000 | <u>(4)</u> | 01/01/2024 | Common Stock | 70,000 |
| Restricted Stock Units | <u>(5)</u> | 09/19/2014 | | D <u>(1)</u> | | 62,000 | <u>(6)</u> | (5) | Common Stock | 62,000 |

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Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|-------------------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| SMITH TODD N C/O HORIZON PHARMA, INC. 520 EAST COOK ROAD, SUITE 520 DEERFIELD, IL 60015 | | | EVP, Chief Commercial Officer | | | | | |

Signatures

/s/ Robert J. De Vaere, Attorney-in-Fact

09/22/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Disposition in connection with the merger (the "Merger") contemplated by the Transaction Agreement and Plan of Merger among Horizon Pharma, Inc. (the "Issuer"), Vidara Therapeutics Holdings LLC, Horizon Pharma plc (formerly known as Vidara Therapeutics

- (1) International Ltd.), Hamilton Holdings (USA), Inc. and Hamilton Merger Sub, Inc. Pursuant to the Merger, the outstanding securities of the Issuer are being canceled and automatically converted into securities of Horizon Pharma plc. The Reporting Person's acquisition of securities of Horizon Pharma plc in the Merger will be reported on a separate Form 4.
- (2) 25% of the shares subject to the option vested on October 1, 2011, and the remaining shares vest in 36 equal monthly installments thereafter.
- (3) In connection with the Merger, the stock option has been assumed and converted into options to purchase the same number of ordinary shares of Horizon Pharma plc at the same exercise price and on substantially the same terms.
- (4) The stock option vests in 48 equal monthly installments following the date of grant.
- (5) Each restricted stock unit represented a contingent right to receive one share of Horizon Pharma, Inc. common stock. Each restricted stock unit has been converted into a contingent right to receive one ordinary share of Horizon Pharma plc.
- (6) The restricted stock units vest in four equal annual installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.