Edgar Filing: ADVANCED DRAINAGE SYSTEMS, INC. - Form 4 ADVANCED DRAINAGE SYSTEMS, INC. Form 4 September 09, 2014 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box Expires: if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading KIDDER C ROBERT Issuer Symbol ADVANCED DRAINAGE (Check all applicable) SYSTEMS, INC. [WMS] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director Officer (give title (Month/Day/Year) below) C/O ADVANCED DRAINAGE 09/05/2014 SYSTEMS, INC., 4640 TRUEMAN BOULEVARD (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HILLIARD, OH 43026 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct (Instr. 3) Code Disposed of (D) Beneficially (D) or anv (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Following (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 09/05/2014 7,945 D А 7,945 А \$0 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

OMB APPROVAL

10% Owner

below)

Other (specify

Indirect

Beneficial

Ownership

(Instr. 4)

3235-0287

January 31,

2005

0.5

Security or Exercise (Instr. 3) Price of Derivative Security	(Month/Day/Year)	Code (Instr. 8)	of	(Month/Day/Year)		Unde Secur	erlying rities r. 3 and 4)	Security (Instr. 5)	Secur Bene Owno Follo Repo Trans (Instr
		Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Reporting Owners									
Reporting Owner Name / A	Address	Relationships							
Reporting Owner Maine /		rector 10	% Owner	Officer O	ther				
KIDDER C ROBERT C/O ADVANCED DRAINAGE S 4640 TRUEMAN BOULEVARD HILLIARD, OH 43026		Х							
Signatures									

4.

5.

6. Date Exercisable and

7. Title and

Amount of

8. Price of

Derivative

9. Nt

Deriv

attorney-in-fact \*\*Signature of Reporting Person

## **Explanation of Responses:**

/s/ C. Robert Kidder, by Mark B. Sturgeon as

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

3. Transaction Date 3A. Deemed

Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

1. Title of 2.

Date

09/09/2014