

HOME BANCSHARES INC

Form 4

September 03, 2014

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
ALLISON JOHN W

2. Issuer Name **and** Ticker or Trading
Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
09/02/2014

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman

P.O. BOX 966

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

CONWAY, AR 72033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	09/02/2014		M		2,851	A	\$ 3.08	3,741,122	D
Common Stock	09/02/2014		M		712	A	\$ 3.5	3,741,834	D
Common Stock	09/02/2014		M		712	A	\$ 3.92	3,742,546	D
Common Stock	09/02/2014		M		712	A	\$ 4.21	3,743,258	D
Common Stock	09/02/2014		M		712	A	\$ 4.92	3,743,970	D
Common Stock	09/02/2014		M		17,276	A		3,761,246	D

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	\$ 4.78		
Common Stock	6,681.339 (1)	I	By 401(k)
Common Stock	8,136	I	By IRA
Common Stock	427,680	I	By wife
Common Stock	33,664	I	By Capital Buyers
Common Stock-Restricted	41,333 (2) (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Share
Stock Option	\$ 3.08	09/02/2014		M	2,851	<u>(4)</u>	<u>(4)</u>	Common Stock	2,851
Stock Option	\$ 3.5	09/02/2014		M	712	<u>(5)</u>	<u>(5)</u>	Common Stock	712
Stock Option	\$ 3.92	09/02/2014		M	712	<u>(6)</u>	<u>(6)</u>	Common Stock	712
Stock Option	\$ 4.21	09/02/2014		M	712	<u>(7)</u>	<u>(7)</u>	Common Stock	712
Stock Option	\$ 4.78	09/02/2014		M	17,276	<u>(8)</u>	<u>(8)</u>	Common Stock	17,276
Stock Option	\$ 4.92	09/02/2014		M	712	<u>(9)</u>	<u>(9)</u>	Common Stock	712
Stock Option	\$ 5.33					<u>(10)</u>	<u>(10)</u>	Common Stock	3,500

Stock Option	\$ 5.33	07/27/2005	07/27/2015	Common Stock	178,900
Performance Stock Option	\$ 5.54	01/01/2010	03/13/2016	Common Stock	88,900
Stock Option	\$ 8.54	(11)	01/17/2018	Common Stock	35,000
Stock Option	\$ 8.6	(12)	01/09/2018	Common Stock	4,700
Stock Option	\$ 10.16	(13)	01/18/2017	Common Stock	47,500
Stock Option	\$ 17.25	01/18/2014	01/17/2023	Common Stock	50,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ALLISON JOHN W P.O. BOX 966 CONWAY, AR 72033	X		Chairman	

Signatures

/s/John W. Allison by Rachel Wesson 09/03/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 15,230 shares acquired through the Home BancShares, Inc. 401(k) Plan since the last filing.
- (2) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.
- (3) Restricted Stock granted on January 17, 2014 will vest in 33 1/3% installments over three years each January 17th.
- (4) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2000 and would have expired on December 31, 2010.
- (5) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2001 and would have expired on December 31, 2011.
- (6) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2002 and would have expired on December 31, 2012.
- (7) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2003 and would have expired on December 31, 2013.
- (8) 3,456 options have an expiration date of December 31, 2014. The remaining 13,820 options vested on January 1, 2005 with an expiration date of January 1, 2015.
- (9) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2004 and expires on December 31, 2014.

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- (10) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2005 and expires on December 31, 2015.
- (11) The option became exercisable in five equal annual installments beginning on January 18, 2009.
- (12) The option became exercisable in five equal annual installments beginning on January 10, 2009.
- (13) The option became exercisable in two equal annual installments beginning on January 19, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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