#### FOX FACTORY HOLDING CORP

Form 4 July 16, 2014

# FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

0.5

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

response...

Estimated average burden hours per

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Fox Robert (Bob) Jr

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

FOX FACTORY HOLDING CORP

(Check all applicable)

[FOXF]

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

X\_ Director 10% Owner Other (specify Officer (give title

C/O FOX FACTORY HOLDING

(Street)

(State)

CORP., 915 DISC DRIVE

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

07/16/2014

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Issuer

below)

SCOTTS VALLEY, CA 95066

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or 5. Amount of 7. Nature of 1. Title of 2. Transaction Date 2A. Deemed 6. Security (Month/Day/Year) Execution Date, if TransactionDisposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price

Common 07/16/2014 Stock

\$ 817,607 S D (1)(2)

14.6708 2,765,657 (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: FOX FACTORY HOLDING CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5.  onNumber of Derivative Securities Acquired (A) or Disposed of (D)			7. Title Amoun Underly Securiti (Instr. 3	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5)  (A) (D)	Date Exercisable	Expiration Date	Title N	Amount or Number of Shares		

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# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Fox Robert (Bob) Jr C/O FOX FACTORY HOLDING CORP. 915 DISC DRIVE SCOTTS VALLEY, CA 95066	X						

# **Signatures**

/s/ Robert C. Fox, Jr., by David Haugen as attorney-in-fact

07/16/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- In connection with the follow-on offering ("Follow-on Offering") of common stock, par value \$0.001 per share (the "Common Stock") of the Issuer, Reporting Person, as a selling stockholder, pursuant to an underwriting agreement (the "Underwriting Agreement") and final prospectus, each dated July 10, 2014, sold 817,607 shares of Common Stock at \$14.6708 per share, which represents the \$15.50 Follow-on Offering price per share of Common Stock, less the underwriters' discount of \$0.8292.
- Pursuant to the Underwriting Agreement and in connection with the Follow-on Offering, the underwriters exercised their option (the (2) "Over-Allotment Option") to purchase an additional 116,045 shares of Common Stock from the Reporting Person, as a selling stockholder. The Over-Allotment Option closed simultaneously with the Follow-on Offering on July 16, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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