Malibu Boats, Inc. Form 3 February 10, 2014

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Malibu Boats, Inc. [MBUU] Horizon Holdings, LLC (Month/Day/Year) 02/05/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1 BUSH STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_\_ 10% Owner Director Form filed by One Reporting Officer Other Person (give title below) (specify below) SAN X Form filed by More than One FRANCISCO, Â CAÂ 94104 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â 1 D Class B Common Stock Class B Common Stock 1 I See Footnote (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

3. Title and Amount of 1. Title of Derivative Security 2. Date Exercisable and 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Units of Malibu Boats Holdings, LLC	(2)	(2)	Class A Common Stock	2,483,725	\$ <u>(2)</u>	D	Â
Units of Malibu Boats Holdings, LLC	(2)	(2)	Class A Common Stock	778,196	\$ <u>(2)</u>	I	See Footnote (1)

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Horizon Holdings, LLC 1 BUSH STREET SAN FRANCISCO, CA 94104	Â	ÂΧ	Â	Â	
Estes Phillip S. 1 BUSH STREET SAN FRANCISCO, CA 94104	ÂX	Â	Â	Â	
Shorin James M. 1 BUSH STREET SAN FRANCISCO, CA 94104	Â	Â	Â	Managing Member	

## **Signatures**

Horizon Holdings, LLC, /s/ Phillip Estes	02/05/2014
**Signature of Reporting Person	Date
/s/ Phillip Estes	02/05/2014
**Signature of Reporting Person	Date
/s/ James Shorin	02/05/2014
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These units are owned directly by Malibu Holdings, LP, of which the general partner is Horizon Holdings, LLC, of which Phillip Estes and James Shorin share the voting power and dispositive power.
- Pursuant to the terms of an exchange agreement, units of Malibu Boats Holdings, LLC are exchangeable for shares of Class A Common (2) Stock of Malibu Boats, Inc on a one-for-one basis, subject to customary conversion rate adjustments for stock splits, stock dividends and reclassification, or for cash (except in the event of a change in control), at the election of Malibu Boats, Inc.

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**Remarks:** 

Exhibit List:

Reporting Owners 2

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Exhibit 24.1 - Power of Attorney for Horizon Holdings, LLC

Exhibit 24.2 - Power of Attorney for Phillip Estes

Exhibit 24.3 - Power of Attorney for James Shorin

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.